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CLIENT / MATTER •

FROM • Paula M. Theismann

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MESSAGE • USSN 09/486865 - SNR NO. 09792909-6482  
CONFIRMING MY TELEPHONE CONVERSATION WITH PETITIONS BRANCH, WE ARE  
RESUBMITTING PETITION TO WITHHOLD ABANDONMENT. PETITIONS DID NOT  
RECEIVE.  
ATTACHED IS SUBMISSION OF OCT 19, 2005 WITH RETURN RECEIPT POSTCARD  
WITH USPTO'S ACKNOWLEDGEMENT OF RECEIPT ON OCT. 21, 2005.

PLEASE CONFIRM RECEIPT OF THIS PETITION.

THANK YOU.

PAULA M. THEISMANN  
PARALEGAL

Original will NOT be mailed

**CONFIDENTIALITY NOTE**

The documents accompanying this facsimile transmission and the Facsimile Transmission Sheet contain information from the law firm of Sonnenschein Nath & Rosenthal LLP which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this facsimiled information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

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NO VA 220

DOCKET NO. 09792909-6482 DVM/PMT 10/19/05

Patent Office Mailbox # 2505 PM Applicant: Fukuoka, Y. et al.

please affix the Patent Office stamp Serial No.: 09486365

and return this card to acknowledge Filing Date: March 2, 2000

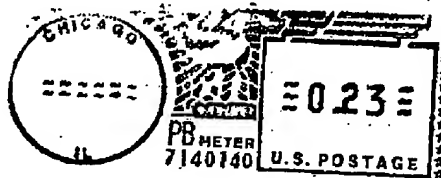
receipt of the following documents: Client: A. Kolke/Sony

Title: COMPILING APPARATUS AND COMPILING METHOD

1. Petition to Withdraw Abandonment (2 pages in duplicate)
2. Copy of Transmittal and Revocation of Power of Attorney w/copy of return receipt postcard dated Nov. 9, 2001 (4 pages - Ex. A)
3. Copy of Status Inquiry w/copy of return receipt postcard dated Nov. 21, 2001 (2 pages - Ex. B)
4. Copy of Status Letter Reply rec'd Feb. 4, 2002 (1 page Ex C)
5. Declaration of Laura Weiss w/  
Exhibit D - Due Date List May 3, 2003  
Exhibit E - Due Date List June 3, 2003  
Exhibit F - Due Date List July 3, 2003
6. Declaration of David Metzger w/ Exhibit G - Due Date for May 3, 2003  
Exhibit H - Due Date for June 3, 2003 and Exhibit I - Due Date for July 3, 2003
7. Exhibit J - List of Actions Docket Sheet 8. Return Receipt Postcard

All mailed to the U.S. Patent and Trademark Office by First Class Mail on  
October 19, 2005

OIPE 1498  
OCT 21 2005  
PATENT & TRADEMARK OFFICE



**Sonnenschein**  
SONNENSCHN NATH & ROSENTHAL  
PO Box # 061080...  
Wacker Drive Station  
Sears Tower  
Chicago, IL 60606-1080

*DMetzger*

*49B A.M.*

8007



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

PATENT

Applicant: Fukuzawa, Y. et al.

Serial No.: 09/486,865

Filed: March 2, 2000

For: COMPILING APPARATUS AND  
COMPILING METHOD

Case No. 09792909-6482  
(formerly KOIK-P8784)

Group Art Unit: 2122

Examiner: Unknown

**Certificate of Mailing (37 CFR 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Petition  
Office of Patent Legal Administration  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date of Deposit: October 19, 2005

*Paula M. Theismann* 10/19/05  
Paula M. Theismann

Date

RECEIVED  
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MAR 02 2006

**PETITION TO WITHDRAW HOLDING OF ABANDONMENT  
PURSUANT TO 37 CFR 1.181**

Mail Stop Petition  
Office of Patent Legal Administration  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby petition to withdraw the holding of abandonment in connection with the above-captioned application. Applicants assert that there is no abandonment in fact and respectfully petitions for withdrawal of the abandonment pursuant to 37 C.F.R. 1.181 and MPEP 711.03(c). Applicants request the Examiner withdraw the holding of abandonment.

**I. Statement of Facts**

The U.S. Patent Office asserts that the application is abandoned because no reply was received to the Office Action mailed April 3, 2003.

Applicants contend, however, that the abandonment should be withdrawn as Applicants' did not receive this Office Action.

On November 9, 2001 Attorney of Record, David R. Metzger of SONNENSCHN NATH & ROSENTHAL LLP, filed a Revocation of Power of Attorney transferring power of attorney from former counsel Limbach & Limbach (copy attached as Exhibit A).

On November 21, 2001 Applicants' filed a Status Inquiry on the above-identified patent application (copy attached as Exhibit B).

On February 4, 2002 Applicants' received a Status Letter Reply (copy attached as Exhibit C) advising of an expected date of action to be July 2002. It is noted on this document that the Requester is Attorney of Record.

On October 7, 2005, per Inventors' Assistance Center, it was determined that the Office Action dated April 3, 2003 had an electronic address for former counsel, Limbach & Limbach at 2001 Ferry Building, San Francisco, California 94111. Thus, the Office Action dated April 3, 2003 was mailed to former counsel and not to the Attorney of Record.

Former counsel's law firm ceased doing business in 2001.

II Docket Record

Attached is a Declaration of Laura Wells authenticating a copy of the docket record where the Office Action would have been docketed if it had been received and entered. This record is for the 3<sup>rd</sup> (third) day of May, June and July 2003, the due dates for response to a 1 (one), 2 (two) and 3 (three) month office action. The record is attached to the Declaration as Exhibits D - F.

Also attached is the Declaration of practitioner authenticating emails recovered with individual docketing records for May 3, June 3 and July 3, 2003. The enclosed docket records are attached as Exhibits G - I.

III. Search of File Jacket

The below signed practitioner searched the file jacket for this application and the contents therein and found no indication that the non-received office action was ever received. Exhibit J attached hereto is a printout of the List of Actions for this particular application from petitioner's electronic docketing system. There is no indication that the non-received office was ever received.

IV. Relief Requested

As the Applicants had not received the Office Action, the abandonment is in error and withdrawal of the abandonment in accordance with 37 CFR 1.181(a) and MPEP 711.03(c) is hereby requested. Acknowledgement of the active status of this application is respectfully requested.

V. Petition Fee

Applicants' contend the abandonment of the above-identified application is a result of the U.S. Patent Office, thus no fee is enclosed with this Petition.

The Commissioner is hereby authorized charge any additional fees required, as well as any patent application processing fees associated with this

11951840

3

communication for which full payment has not been tendered, to Deposit Account No. 19-3140. A duplicate copy of this letter is enclosed.

Respectfully submitted,

SONNENSCHN NATH & ROSENTHAL LLP

SONNENSCHN NATH & ROSENTHAL LLP  
P.O. Box 061080  
Wacker Drive Station  
Sears Tower  
Chicago, Illinois 60606-1080  
Telephone: (312) 876-8000

By:

  
David R. Metzger  
Registration No. 32,919

11951840

**Exhibit A**

**DOCKET NO. 9793822-0152**

**DVM/JVH**

**11/09/01**

**Patent Office Mail Room: Will you  
please affix the Patent Office stamp  
and return this card to acknowledge  
receipt of the following documents:**

|                     |                             |
|---------------------|-----------------------------|
| <b>Applicant:</b>   | <b>Y. Fukuzawa, et. al.</b> |
| <b>Serial No.:</b>  | <b>09/486,865</b>           |
| <b>Filing Date:</b> | <b>March 2, 2000</b>        |
| <b>Client:</b>      | <b>Sony Corporation</b>     |

**Title: COMPILING APPARATUS AND COMPILING METHOD**

- 1. Transmittal Letter (1 page – in duplicate)**
- 2. Revocation and Power of Attorney (2 pages)**
- 3. Copy of Recorded Assignment (5 pages)**
- 4. Return Receipt Postcard**

**All mailed to the U.S. Patent and Trademark Office by First Class Mail on  
November 9, 2001**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant: Y. Fukuzawa, et al.

Serial No.: 09/486,865

Filed: March 2, 2000

For: COMPILING APPARATUS AND  
COMPILING METHOD

Case No.: 9793822-0152

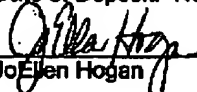
Group Art Unit: 2755

**Certificate of Mailing (37 CFR 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Assistant Commissioner for Patents  
Washington, D.C. 20231, on:

Date of Deposit: November 9, 2001

 11/9/01  
JoEllen Hogan Date

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is a Revocation and Power of Attorney in the above-identified patent application.

Also enclosed are: Copy of Recorded Assignment  
Return Receipt Postcard

Applicant's former counsel, Limbach & Limbach, has gone out of business, and forwarding of mail has been sporadic, at best. If any correspondence has been issued since January 1, 2001, please forward a copy to us as we cannot guarantee that it has been received by applicant, or applicant's current or former counsel.

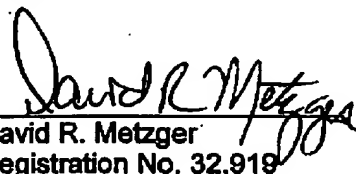
The Commissioner is hereby authorized to charge any additional fees required, as well as any patent application processing fees associated with this communication for which full payment has not been tendered, to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

SONNENSCHN NATH & ROSENTHAL

SONNENSCHN NATH & ROSENTHAL  
P.O. Box 081080  
Wacker Drive Station  
Sears Tower  
Chicago, Illinois 60606-1080  
Telephone: (312) 878-8000

By:

  
David R. Metzger  
Registration No. 32,919

M120036V-1

Our Docket No: 0979381 1152  
Sony Docket No: S99P0784 00

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Y. Fukuzawa, et al.  
SERIAL NO: 09/486,865  
FILED: March 2, 2000  
TITLE COMPILING APPARATUS AND COMPILING METHOD  
AU: 2755

Assist. Commissioner of Patents  
Washington, D.C. 20231

**REVOCATION AND POWER OF ATTORNEY**

Dear Sir:

SONY CORPORATION, a Japanese Corporation, having a place of business at 7-35,  
Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141, Japan and owner of the above-  
referenced patent application, hereby revokes all former appointments of powers of attorney  
and hereby appoints the following attorneys to transact all business in the United States  
Patent and Trademark Office connected herewith:

Terrence M. Brennan, Reg. No. 42,360  
Thomas J. Burton, Reg. No. 47,464  
Matthew M. Catlett, Reg. No. 44,067  
Jeffrey F. Craft, Reg. No. 30,044  
D. Andrew Floam, Reg. No. 34,597  
Brian J. Gill, Reg. No. 46,727  
Gregory Gulliver, Reg. No. 44,138  
John F. Griffith, Reg. No. 44,137  
Kevin W. Gynn, Reg. No. 29,927  
Jennifer Hammond, Reg. No. 41,814  
Marc E. Hankin, Reg. No. 38,908  
Lana Knedlik, Reg. No. 42,748  
Michael L. Kiklis, Reg. No. 38,939  
Eric N. Kohli, Reg. No. 43,726

Mark H. Krietzman, Reg. No. 41,128  
Brian R. McGinley, Reg. No. 47,782  
David R. Metzger, Reg. No. 32,919  
Michael A. Molano, Reg. No. 39,777  
John F. Nethery, Reg. No. 42,928  
Christopher P. Raush, Reg. No. 45,034  
Francisco Rubio-Campos, Reg. No. 45,358  
Marina N. Saito, Reg. No. 42,121  
Alison P. Schwartz, Reg. No. 43,863  
Jordan A. Sigale, Reg. No. 39,028  
Vincent Tassinari, Reg. No. 42,179  
Shashank S. Upadhye, Reg. No. 48,209

of the firm:

SONNENSCHN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station - Sears Tower  
Chicago, Illinois 60606-1080  
(312) 876-8000 telephone  
(312) 876-7934 facsimile

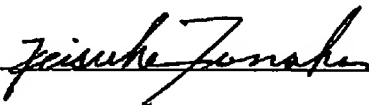
to which all correspondence is to be directed.

1418276V-1



The undersigned hereby states that I am empowered to execute this Revocation and Power of Attorney on behalf of SONY CORPORATION and certify that an assignment of the subject application to SONY CORPORATION was recorded with the United States Patent and Trademark Office on March 2, 2000 at Reel/Frame 010725/0439; that I have reviewed all the documents in the chain of title in the subject application and to the best of my knowledge and belief, title is in the name of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application.

Signature:  Date: October 25, 2001

Name: Keisuke Tanaka  
(Print)

Title: Manager, Intellectual Property Div.

1418237-1V-1

**Exhibit B**

**DOCKET NO. 9793822-0152**

**DVM/JVH**

**11/21/01**

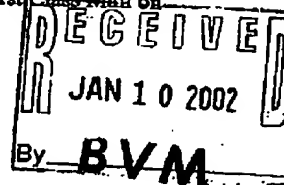
**Patent Office Mail Room: Will you  
please affix the Patent Office stamp  
and return this card to acknowledge  
receipt of the following documents:**

**Applicant: Y. Fukuzawa, et. al.  
Serial No.: 09/486,865  
Filing Date: March 2, 2000  
Client: A. KOIKE & CO.**

**Title: COMPILING APPARATUS AND COMPILING METHOD**

- 1. Status Inquiry (2 pages - in duplicate)**
- 2. Stamped Self-Addressed Envelope**
- 3. Return Receipt Postcard**

**All mailed to the U.S. Patent and Trademark Office by First Class Mail on  
November 21, 2001.**



Practitioner's Docket No. 9793822-0152

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Fukuzawa, Yuji; Okada, Tetsuya

Application No.: 09/486,865                      Group No.: 2755  
Filed: March 2, 2000                      Examiner: Unknown  
For: COMPILING APPARATUS AND COMPILING METHOD

Assistant Commissioner for Patents  
Washington D.C. 20231

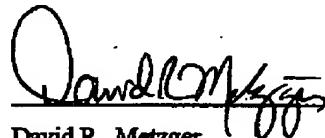
**STATUS INQUIRY**

1. More than 20 months have passed since the filing of this application on March 2, 2000. No communication has been received from the Patent and Trademark Office indicating action on this application.
2. Kindly advise the undersigned of the present status of this application, by checking the appropriate box on the next page. A stamped return-addressed envelope is provided.

Date:

November 21, 2001

Sonnenschein Nath & Rosenthal  
P.O. Box 061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606-1080  
312-876-8000  
Customer No. 26263

  
\_\_\_\_\_  
David R. Metzger  
Registration No. 32,919

(Status Inquiry—page 1 of 2)

44192163V-1

**STATUS INQUIRY REPLY**

APPLICATION NO. 09/486,865 IS CURRENTLY

☐ ASSIGNED TO GROUP \_\_\_\_\_ AND AWAITS:

☐ ACTION BY THE EXAMINER.

☐ APPLICANT'S RESPONSE TO THE OFFICE ACTION MAILED

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(Status Inquiry--page 2 of 2)



Exhibit C



STATUS LETTER REPLY

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Washington, D.C. 20231

Serial Number: 09,486,865

Group Art Unit: 2122

Filing Date: 03/2000

Attorney Reference: K01K-P9784

REQUESTER: \_\_\_\_\_

☒ Attorney of Record

- ( ) Not of Record. Therefore, the following status information is being released to the following ATTORNEY OF RECORD: \_\_\_\_\_
- ( ) Not of Record. Unfortunately, the status information can not be released because the requestor is not of record. If the requester should be of record, please forward additional changes to the Power of Attorney to the Customer Service Office.

STATUS INFORMATION

☒ Action by the examiner

Expected date for action on this application

Month: 07 Year: 2002 At this time, the average period between the filing date and issue of a first action on the merits for this art unit is: 28 MONTHS

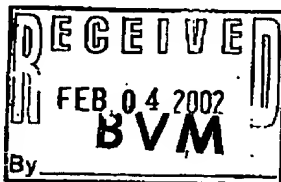
- ( ) Other (explain) \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

RECEIVED 2/4/02 DOCKET

DOCKETED Status letter

DIARIED 2/4/02

BY: BVM



Customer Service Office  
Technology Center 2100  
(703) 306-5631 (voice)  
(703) 746-7240 (fax)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fukuzawa, Y. et al.

Serial No.: 09/486,865

Filed: March 2, 2000

For: COMPILING APPARATUS AND  
COMPILING METHOD

Case No. 09792909-8482  
(formerly KOIK-P9784)

Group Art Unit: 2122

Examiner: Unknown

**Certificate of Mailing (37 CFR 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Petition  
Office of Patent Legal Administration  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date of Deposit: October 19, 2005

*Paula M. Thelsmann* 10/19/05  
Paula M. Thelsmann Date

DECLARATION OF LAURA WELLS

Mail Stop Petition  
Office of Patent Legal Administration  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

S I R:

I, Laura Wells, hereby declare as follows:

I am over the age of 18 and have been employed as the Intellectual Property and Technology Docket Department (IPT Docket) Manager of Sonnenschein Nath & Rosenthal LLP (SNR) since September 27, 2004.

Exhibit D is not a printout generated in the ordinary course of business but is the result of an extraction of responses due data for May 3, 2003 from SNR's IP Docket patent docket database. In accordance with the extraction criteria, it should contain all responses that were due on May 3, 2003 for patent matters handled by SNR. No physical copy existed prior to this data extraction request.

Exhibit E is not a printout generated in the ordinary course of business but is the result of an extraction of responses due data for June 3, 2003 from SNR's IP Docket patent docket database. In accordance with the extraction criteria, it should contain all responses that were due on June 3, 2003 for patent matters handled by SNR. No physical copy existed prior to this data extraction request.

Exhibit F is not a printout generated in the ordinary course of business but is the result of an extraction of responses due data for July 3, 2003 from SNR's IP Docket patent docket database. In accordance with the extraction criteria, it should contain all responses that were due on July 3, 2003 for patent matters handled by SNR. No physical copy existed prior to this data extraction request.

The docket system cannot today generate a due date report using the canned reporting functions of the system in view of the fact that only responses with open due dates are printed in such reports. Given that there are no open due dates for May 3, 2003; June 3, 2003 and July 3, 2003, only a blank report would be generated by the system's canned reports.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

  
Laura Wells

Date: October 19, 2005

LAW/05-03-03 Actions Due

Exhibit D

10/10/2005

|               |            |                                |                                |          |           |
|---------------|------------|--------------------------------|--------------------------------|----------|-----------|
| 09701421-0092 | 00250008.0 | Response to OA (Foreign) *     | Response - 2 Mo Reminder       | 5/3/2003 | 5/29/2003 |
| 09800240-0066 | 01984090.3 | Draft Amendment                | Amend Claims-Rule 109          | 5/3/2003 | 4/24/2003 |
| 09792909-1292 | 07317280   | Tax 11.5                       | 11.5 Main Fee Reminder 1 Month | 5/3/2003 | 5/15/2003 |
| 09792909-1395 | 07505157   | Tax 11.5                       | 11.5 Main Fee Reminder 1 Month | 5/3/2003 | 5/20/2003 |
| 09792909-4062 | 09226854   | 3 Month Office Action/SONY*    | 2nd Ext To Office Action       | 5/3/2003 | 3/3/2003  |
| 09792909-4117 | 09273270   | 3 Month Office Action/SONY*    | 1 Month To Response To O.A.    | 5/3/2003 | 6/3/2003  |
| 09792909-4872 | 09551432   | 3 Month Office Action/SONY*    | 1 Month To Response To O.A.    | 5/3/2003 | 6/3/2003  |
| 09792909-0339 | 09583277   | Final Office Action/SONY*      | Postcard-Final OA, RCE (FAX)   | 5/3/2003 | 4/3/2003  |
| 40018160-0008 | 09626443   | Final Office Action*           | 1 Month to Response Date       | 5/3/2003 | 8/8/2003  |
| 09792909-0425 | 09671436   | 3 Month Office Action/SONY*    | Reminder Letter To Sony        | 5/3/2003 | 6/9/2003  |
| 09792909-6396 | 09673202   | 3 Month Office Action/SONY*    | Reminder Letter To Sony        | 5/3/2003 | 6/19/2003 |
| 09792909-4661 | 09689555   | Restriction Requirement/SONY*  | 2nd Ext to Restriction         | 5/3/2003 | 2/24/2003 |
| 09800080-0048 | 09703350   | Non-Recordation of Assignment* | Response to Non Recordation    | 5/3/2003 | 4/16/2003 |
| 09792909-4750 | 09767922   | 3 Month Office Action/SONY*    | Postcard-OA (1/22/03) (FAX)    | 5/3/2003 | 4/3/2003  |
| 09800080-0136 | 09784810   | Restriction Requirement*       | Response w/ 2nd Ext.           | 5/3/2003 | 7/3/2003  |
| 09796503-0136 | 09787193   | 3 Month Office Action*         | 1 Month to Response to OA      | 5/3/2003 | 5/1/2003  |
| 09792909-4713 | 09813551   | 3 Month Office Action/SONY*    | 1st Ext To Office Action       | 5/3/2003 | 3/28/2003 |
| 09792909-5039 | 09871033   | 3 Month Office Action*         | Response to Office Action      | 5/3/2003 | 5/5/2003  |
| 09792909-5170 | 09803251   | 3 Month Office Action/SONY*    | Postcard-OA (1/8/03)           | 5/3/2003 | 4/17/2003 |
| 09793832-0151 | 09807345   | 3 Month Office Action/SONY*    | Reminder Letter To Sony        | 5/3/2003 | 8/18/2003 |
| 09792909-5266 | 09894270   | 3 Month Office Action/SONY*    | Response To Office Action      | 5/3/2003 | 6/2/2003  |
| 80019870-0004 | 10/072207  | Allowance*                     | Status - Issue Notification    | 5/3/2003 | 4/1/2003  |
| 09792909-5338 | 10/073134  | Final Office Action/SONY*      | Response to Final OA           | 5/3/2003 | 5/5/2003  |
| 09798731-0013 | 10/078185  | 3 Month Office Action*         | 1 Month to Response to OA      | 5/3/2003 | 9/3/2003  |
| 80019810-0005 | 10/116649  | Rec'd Corrected NOR?           | Rec'd Corrected NOR?           | 5/3/2003 | 7/13/2004 |
| 09789280-0004 | 10/128335  | Missing Requirements*          | Final Ext To Missing Req.      | 5/3/2003 | 3/17/2003 |
| 09793070-0475 | 10/167960  | Foreign Filing                 | Foreign Filing Due             | 5/3/2003 | 9/20/2002 |
| 40018160-0034 | 10/167698  | Allowance w/ Drawings*         | Cont or Div to be filed Y/N?   | 5/3/2003 | 4/29/2003 |
| 40018160-0034 | 10/167698  | Allowance w/ Drawings*         | Issue Fee/Drawings Due         | 5/3/2003 | 4/28/2003 |
| 40018160-0035 | 10/191886  | 1 Month Office Action*         | Response to Office Action      | 5/3/2003 | 4/25/2003 |
| 09792909-6457 | 10/221802  | Missing Requirements*          | 2nd Ext To Missing Req.        | 5/3/2003 | 4/3/2003  |
| 09792909-6457 | 10/221802  | Missing Requirements*          | Postcard - Missing Req.?       | 5/3/2003 | 4/22/2003 |
| 09792909-5495 | 10/238542  | Missing Requirements*          | Postcard - Missing Req.?       | 5/3/2003 | 4/22/2003 |



LAW/05-03-03 Actions Due

10/10/2005

|                   |            |                                |                               |          |           |
|-------------------|------------|--------------------------------|-------------------------------|----------|-----------|
| 30014200-1050     | 10/304198  | Missing Parts*                 | 2nd Ext to Missing Parts      | 5/3/2003 | 2/24/2003 |
| 80019810-0008     | 10/323085  | Missing Parts*                 | Response to Missing Parts     | 5/3/2003 | 6/8/2003  |
| 80019870-0085     | 10/356850  | Information Disclosure Stmt    | Information Disclosure Stmt   | 5/3/2003 | 2/3/2003  |
| 50046880-0025     | 10/398594  | Postcard Recd-New Application* | Postcard Recd-New Application | 5/3/2003 | 4/24/2003 |
| 08741620-0159-081 | 2327129    | Recd. Notice of Allowance      | Recd. Notice of Allowance     | 5/3/2003 | 5/21/2003 |
| 44424162-8723-CA  | 2333095    | Annulity Due*                  | Annulity Reminder             | 5/3/2003 | 6/3/2003  |
| 09800240-0069     | 2419115    | Status Check *                 | Status Check                  | 5/3/2003 | 5/22/2003 |
| 70021220-0047     | 48737/99   | 1 mo. Rem. Resp. to Examiner   | 1 mo. Rem. Resp. to Examiner  | 5/3/2003 | 5/20/2003 |
| 9766650-0003      | 607888     | US-Maintenance Fee Reminders   | 11.5 Maint. Fee Reminder 1mth | 6/3/2003 | 6/3/2003  |
| 44424162-8720-EP  | 99837153.7 | Annulity Due*                  | Annulity Reminder             | 6/3/2003 | 6/3/2003  |
| 44424162-8730-EP  | 99949533.6 | Annulity Due*                  | Annulity Reminder             | 6/3/2003 | 6/3/2003  |
| 44424162-8723-EP  | 99951367.4 | Annulity Due*                  | Annulity Reminder             | 5/3/2003 | 6/3/2003  |
| 44424162-8718-EP  | 99951368.2 | Annulity Due*                  | Annulity Reminder             | 5/3/2003 | 6/3/2003  |
| 08800080-0033     | 99960538.9 | File Divisional?               | File Divisional?              | 6/3/2003 | 5/22/2003 |
| 30014380-0005     | US01/32642 | Chapter II Due (Nat'l Filing)  | Chapter II Due (Nat'l Filing) | 6/3/2003 | 6/7/2004  |

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Exhibit E

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|               |              |                                 |                                |          |           |
|---------------|--------------|---------------------------------|--------------------------------|----------|-----------|
| 09701421-0082 | 00250008.0   | Response to OA (Foreign) *      | Response - 1 Mo Reminder       | 6/3/2003 | 6/28/2003 |
| 09792909-1282 | 07/317290    | Tax 11.5                        | Tax 11.5                       | 6/3/2003 | 5/15/2003 |
| 08782808-1322 | 07/373242    | 2nd Maint. Fee Statement Rec'd* | 2nd Maint. Fee Statement Rec'd | 6/3/2003 | 6/3/2003  |
| 09782808-1395 | 07/505157    | Tax 11.5                        | Tax 11.5                       | 6/3/2003 | 5/20/2003 |
| P90,1271      | 07/548554    | Tax 11.5                        | Tax 11.5                       | 6/3/2003 | 6/22/2004 |
| 08782808-1448 | 07/558470    | Tax 11.5                        | 11.5 Main Fee Reminder 3 Month | 6/3/2003 | 8/28/2003 |
| 09792909-1456 | 07/568768    | 2nd Maint. Fee Statement Rec'd* | 2nd Maint. Fee Statement Rec'd | 6/3/2003 | 6/3/2003  |
| P80,1310      | 07/572434    | Tax 11.5                        | Tax 11.5                       | 6/3/2003 | 6/19/2001 |
| 09792909-1480 | 07/573783    | 2nd Maint. Fee Statement Rec'd* | 2nd Maint. Fee Statement Rec'd | 6/3/2003 | 6/3/2003  |
| 09782909-1509 | 07/616414    | Tax 11.5                        | 11.5 Main Fee Reminder 3 Month | 6/3/2003 | 8/28/2003 |
| 08782909-1909 | 07/675337    | 2nd Maint. Fee Statement Rec'd* | 2nd Maint. Fee Statement Rec'd | 6/3/2003 | 6/3/2003  |
| P88,0093R     | 07/80/002190 | Tax 11.5                        | Tax 11.5                       | 6/3/2003 | 7/6/2004  |
| 09792909-2480 | 08/172272    | 2nd Maint. Fee Statement Rec'd* | 2nd Maint. Fee Statement Rec'd | 6/3/2003 | 6/3/2003  |
| 09792909-2518 | 08/202157    | 2nd Maint. Fee Statement Rec'd* | 2nd Maint. Fee Statement Rec'd | 6/3/2003 | 6/3/2003  |
| 09792909-2551 | 08/206652    | 2nd Maint. Fee Statement Rec'd* | 2nd Maint. Fee Statement Rec'd | 6/3/2003 | 6/3/2003  |
| 09792909-2629 | 08/267675    | 2nd Maint. Fee Stmt. Rec'd.     | 2nd Maint. Fee Stmt. Rec'd.    | 6/3/2003 | 6/3/2003  |
| 80019810-0128 | 08/698631    | Notice of Abandonment 2*        | Notice of Abandonment          | 6/3/2003 | 6/27/2003 |
| 09792909-5598 | 09/188668    | 2nd Maint. Fee Stmt. Rec'd.     | 2nd Maint. Fee Stmt. Rec'd.    | 6/3/2003 | 6/3/2003  |
| 09793877-0021 | 09/214615    | 4th Status Inquiry              | 4th Status Inqu Follow Up Date | 6/3/2003 | 4/22/2003 |
| 09792909-4062 | 09/226854    | 3 Month Office Action/SONY*     | Final Ext To Office Action     | 6/3/2003 | 3/3/2003  |
| 09792909-4101 | 09/257861    | Formal Drawings Filed*          | Formal Drawings Filed          | 6/3/2003 | 6/3/2003  |
| 09792909-4101 | 09/267861    | Postcard-Formal Drawings        | Postcard-Formal Drawings       | 6/3/2003 | 6/17/2003 |
| 09792890-0002 | 09/272238    | 1TC - Letters Patent            | 1TC - Letters Patent           | 6/3/2003 | 6/3/2003  |
| 09792909-4117 | 09/273270    | 3 Month Office Action/SONY*     | Response To Office Action      | 6/3/2003 | 6/3/2003  |
| 09792909-4925 | 09/299820    | Amendment Status Check*         | Amendment Status Check         | 6/3/2003 | 3/27/2003 |
| 80019820-0130 | 09/312454    | Pat. to Correct Inventor Name   | Pat. to Correct Inventor Name  | 6/3/2003 | 6/3/2003  |
| 80019820-0130 | 09/312454    | Postcard-Cert. Of Correction    | Postcard-Cert. Of Correction   | 6/3/2003 | 6/17/2003 |
| 80019820-0130 | 09/312454    | Postcard-Pet. To Correct Inv.   | Postcard-Pet. To Correct Inv.  | 6/3/2003 | 6/13/2003 |
| 80019820-0130 | 09/312454    | Req. for Cert. Of Correction    | Req. for Cert. Of Correction   | 6/3/2003 | 6/3/2003  |
| 09796503-0088 | 09/367941    | Received Examiner's Answer?     | Received Examiner's Answer?    | 6/3/2003 | 5/6/2003  |
| 09792909-4303 | 09/392756    | Postcard-Pet. To Revive         | Postcard-Pet. To Revive        | 6/3/2003 | 6/17/2003 |
| 09793070-0474 | 09/449199    | 3 Month Office Action*          | 1 Month to Response to OA      | 6/3/2003 | 7/2/2003  |
| 09793877-0002 | 09/508204    | Office Action Rec'd?            | Office Action Rec'd?           | 6/3/2003 | 6/23/2003 |

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|               |           |                                |                               |          |            |
|---------------|-----------|--------------------------------|-------------------------------|----------|------------|
| 09789107-0003 | 09/509409 | LTC - Issue Notification       | LTC - Issue Notification      | 6/3/2003 | 6/3/2003   |
| 09782809-4872 | 09/551432 | 3 Month Office Action/SONY*    | Response To Office Action     | 6/3/2003 | 6/3/2003   |
| 09741620-0157 | 09/588307 | Suspension follow up           | Suspension follow up          | 6/3/2003 | 1/7/2004   |
| 09782809-3500 | 09/590847 | Filed IDS                      | Filed IDS                     | 6/3/2003 | 6/3/2003   |
| 09782809-3600 | 09/590847 | Postcard-IDS                   | Postcard-IDS                  | 6/3/2003 | 6/13/2003  |
| 09783822-0019 | 09/601092 | LTC - Issue Notification       | LTC - Issue Notification      | 6/3/2003 | 6/3/2003   |
| 40018160-0008 | 09/626443 | Final Office Action*           | Response to Final OA          | 6/3/2003 | 8/6/2003   |
| 70021220-0079 | 09/655085 | Notice of Abandonment 2*       | Notice of Abandonment         | 6/3/2003 | 6/16/2003  |
| 09782809-4681 | 09/689555 | Restriction Requirement/SONY*  | 3rd Ext to Restriction        | 6/3/2003 | 2/24/2003  |
| 09782809-4659 | 09/698627 | Notice of Abandonment 2*       | Notice of Abandonment         | 6/3/2003 | 6/11/2003  |
| 50046200-0015 | 09/706293 | Notice Re: Power of Atty *     | Notice Re: Power of Atty      | 6/3/2003 | 6/10/2003  |
| 09782809-4682 | 09/707187 | 3 Month Office Action/SONY*    | 1 Month To Response To O.A.   | 6/3/2003 | 4/23/2003  |
| 09782809-4714 | 09/728183 | LTC - Advisory Action          | LTC-Advisory Action           | 6/3/2003 | 6/3/2003   |
| 09782809-4732 | 09/751015 | LTC - Issue Notification       | LTC - Issue Notification      | 6/3/2003 | 6/3/2003   |
| 09800080-0136 | 09/784810 | Restriction Requirement*       | Response w/ 3rd Ext.          | 6/3/2003 | 7/3/2003   |
| 09783822-0123 | 09/786609 | Amendment Status Check*        | Amendment Status Check        | 6/3/2003 | 1/29/2003  |
| 09786503-0136 | 09/787193 | 3 Month Office Action*         | Response to Office Action     | 6/3/2003 | 5/1/2003   |
| 09782809-4713 | 09/813551 | 3 Month Office Action/SONY*    | 2nd Ext To Office Action      | 6/3/2003 | 3/28/2003  |
| 09782809-5112 | 09/862559 | LTC - Letters Patent           | LTC - Letters Patent          | 6/3/2003 | 6/3/2003   |
| 09782809-5039 | 09/871033 | 3 Month Office Action*         | 1st Ext to Office Action      | 6/3/2003 | 6/5/2003   |
| 09775810-0035 | 09/873933 | Status Inquiry Follow Up       | Status Inquiry Follow Up      | 6/3/2003 | 12/31/2003 |
| 09799107-0007 | 09/889803 | LTC - Issue Notification       | LTC - Issue Notification      | 6/3/2003 | 6/3/2003   |
| 09784060-0001 | 09/838138 | Notice of Abandonment 2*       | Notice of Abandonment         | 6/3/2003 | 6/10/2003  |
| 09782809-5286 | 09/894270 | 3 Month Office Action/SONY*    | 1st Ext To Office Action      | 6/3/2003 | 5/2/2003   |
| 50046180-0014 | 10/005372 | Application Status Check       | Application Status Check      | 6/3/2003 | 6/21/2004  |
| 09782809-5275 | 10/005860 | Application Status Check       | Application Status Check      | 6/3/2003 | 7/6/2004   |
| 09782809-5338 | 10/073134 | Final Office Action/SONY*      | 1st Ext to Final OA/Appeal    | 6/3/2003 | 6/4/2003   |
| 09782809-5338 | 10/073134 | Response to Advisory Action    | Response to Advisory Action   | 6/3/2003 | 6/4/2003   |
| 09788731-0013 | 10/078165 | 3 Month Office Action*         | Response to Office Action     | 6/3/2003 | 9/3/2003   |
| 09782809-5323 | 10/101191 | Rec'd Client Instructions      | Rec'd Client Instructions     | 6/3/2003 | 6/3/2003   |
| 09782809-5325 | 10/107495 | US-3 Month Office Action/SONY* | US-3 Month Off Follow Up Date | 6/3/2003 | 8/8/2003   |
| 09782809-5417 | 10/108984 | Postcard-IDS                   | Postcard-IDS                  | 6/3/2003 | 6/17/2003  |
| 09782809-6430 | 10/181301 | LTC - Assignment Recordation   | LTC - Assignment Recordation  | 6/3/2003 | 6/3/2003   |

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|                   |             |                                |                                |          |           |
|-------------------|-------------|--------------------------------|--------------------------------|----------|-----------|
| 40018160-0035     | 10/19/1886  | 1 Month Office Action*         | 1st Ext to Office Action       | 6/3/2003 | 4/25/2003 |
| 09792809-9457     | 10/22/1802  | LTC - Not Revised Publication  | LTC - Not Revised Publication  | 6/3/2003 | 6/3/2003  |
| 09792809-9457     | 10/22/1802  | Missing Requirements*          | 3rd Ext To Missing Req.        | 6/3/2003 | 4/3/2003  |
| 30014200-1050     | 10/30/4198  | Missing Parts*                 | 3rd Ext to Missing Parts       | 6/3/2003 | 2/24/2003 |
| 09792809-5538     | 10/31/2467  | Postcard-Resub. Of Missing Pts | Postcard-Resub. Of Missing Pts | 6/3/2003 | 6/20/2003 |
| 09792809-5538     | 10/31/2467  | Resub. Of Missing Req.         | Resub. Of Missing Req.         | 6/3/2003 | 6/3/2003  |
| 80019810-0008     | 10/32/3065  | Missing Parts*                 | 1st Ext to Missing Parts       | 6/3/2003 | 6/6/2003  |
| 09792809-6506     | 10/32/8888  | LTC - Assignment Recodation    | LTC - Assignment Recodation    | 6/3/2003 | 6/3/2003  |
| 09802910-0001     | 10/36/3480  | Information Disclosure Stmt    | Information Disclosure Stmt    | 6/3/2003 | 3/3/2003  |
| 09802910-0001     | 10/36/3480  | Status-Official Filing Receipt | Status-Official Filing Receipt | 6/3/2003 | 2/24/2004 |
| 09792809-0006     | 10/37/4035  | Official Filing Receipt*       | Official Filing Receipt        | 6/3/2003 | 6/10/2003 |
| 60019620-0221     | 10/40/8484  | Official Filing Receipt*       | Official Filing Receipt        | 6/3/2003 | 6/10/2003 |
| 09792909-5689     | 10/40/8336  | Official Filing Receipt*       | Official Filing Receipt        | 6/3/2003 | 6/10/2003 |
| 09797042-0019     | 10/41/2570  | Official Filing Receipt*       | Official Filing Receipt        | 6/3/2003 | 6/10/2003 |
| 09792809-5626     | 10/45/3714  | Drawings Filed*                | Drawings Filed                 | 6/3/2003 | 6/3/2003  |
| 09792809-5626     | 10/45/3714  | Prelim. Amendment Filed*       | Prelim. Amendment Filed        | 6/3/2003 | 6/3/2003  |
| 09792809-5626     | 10/45/3714  | Pwr of Attorney/Dec. Filed*    | Pwr of Attorney/Dec. Filed     | 6/3/2003 | 6/3/2003  |
| 60019620-0228     | 10/68/5010  | File Application?              | File Application?              | 6/3/2003 | 10/6/2003 |
| 70021220-0059     | 1304/955.6  | Follow Up re: Exam Request     | Follow Up re: Exam Request     | 6/3/2003 | 5/20/2003 |
| 09793245-0003     | 2180/408    | Annulity Due*                  | Annulity Reminder              | 6/3/2003 | 6/2/2003  |
| 09798622-0086     | 2180/412    | Annulity Due                   | Annulity Reminder              | 6/3/2003 | 3/28/2003 |
| 09798622-0086     | 2180/412    | Request For Examination*       | 1 Mo. Rem Req. for Examination | 6/3/2003 | 6/15/2002 |
| 09797965-0007     | 2194/637    | Tax Is Due                     | Tax Is Due Follow Up Date      | 6/3/2003 | 6/6/2003  |
| 44424162-8723-CA  | 2333/095    | Annulity Due*                  | Annulity Due                   | 6/3/2003 | 6/3/2003  |
| 44424162-8723-CA  | 2333/095    | Deferred Exam                  | Deferred Exam                  | 6/3/2003 | 6/3/2003  |
| 70021220-0047     | 4873/789    | Response to Examiner           | Response to Examiner           | 6/3/2003 | 5/20/2003 |
| 70012200-0014-004 | 60/43/3399  | Assignment Recodation*         | Assignment Recodation          | 6/3/2003 | 6/10/2003 |
| 70012200-0014-004 | 60/43/3399  | File Application               | Filing Reminder                | 6/3/2003 | 12/3/2003 |
| 70012200-0014-004 | 60/43/3399  | Foreign Filing                 | Foreign Filing Reminder        | 6/3/2003 | 12/3/2003 |
| 9786860-0003      | 807/888     | Tax 11.5                       | Tax 11.5                       | 6/3/2003 | 6/3/2003  |
| 44424162-8720-EP  | 9993/7153.7 | Annulity Due*                  | Annulity Due                   | 6/3/2003 | 6/3/2003  |
| 44424162-8730-EP  | 9994/8533.6 | Annulity Due*                  | Annulity Due                   | 6/3/2003 | 6/3/2003  |
| 44424162-8723-EP  | 9995/1367.4 | Annulity Due*                  | Annulity Due                   | 6/3/2003 | 6/3/2003  |

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|                  |            | Amnuty Due*                 | Amnuty Due                  |          |           |
|------------------|------------|-----------------------------|-----------------------------|----------|-----------|
| 44424162-8718-EP | 99951388.2 | Final Response to Opinions  | Final Response to Opinions  | 6/3/2003 | 6/3/2003  |
| 50019190-1002    | US02/13717 | F/U re Seq. Listing         | Response to Correct Defects | 6/3/2003 | 8/11/2004 |
| 08800080-0011    | US02/18496 | PCT Correct Defects*        | Response to Correct Defects | 6/3/2003 | 5/29/2003 |
| 09799910-0025    | US03/04047 | Rescind on Non-publication? | Rescind on Non-publication? | 6/3/2003 | 6/11/2003 |
| 40018160-0056    | US03/15803 |                             |                             | 6/3/2003 | 6/18/2004 |

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Exhibit F

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|                    |            |                                |                                |          |           |
|--------------------|------------|--------------------------------|--------------------------------|----------|-----------|
| 09701421-0092      | 00250008.0 | Response to OA (Foreign) *     | Response to Office Action      | 7/3/2003 | 5/29/2003 |
| 09019870-0010      | 09/054794  | Issued Patent Rec'd?           | Issued Patent Rec'd?           | 7/3/2003 | 5/29/2003 |
| 09792909-4034      | 09/199300  | Final Office Action/SONY*      | Postcard-Final OA              | 7/3/2003 | 6/12/2003 |
| 09792909-0002      | 09/272238  | LTC - Letters Patent           | LTC - Let Pat Acknowledgement  | 7/3/2003 | 7/17/2004 |
| 09792909-4117      | 09/273270  | 3 Month Office Action/SONY*    | 1st Ext To Office Action       | 7/3/2003 | 6/3/2003  |
| 09792909-4117      | 09/273270  | 3 Month Office Action/SONY*    | Postcard-OA (3/24/03)          | 7/3/2003 | 6/17/2003 |
| 09793822-0102      | 09/387799  | Issued Patent Rec'd?           | Issued Patent Rec'd?           | 7/3/2003 | 6/11/2003 |
| 09793070-0474      | 09/449199  | 3 Month Office Action*         | Response to Office Action      | 7/3/2003 | 7/2/2003  |
| 09798107-0003      | 09/509409  | Issued Patent Rec'd?           | Issued Patent Rec'd?           | 7/3/2003 | 6/11/2003 |
| 09792909-3444      | 09/524149  | 1 Month Office Action*         | Response to Office Action      | 7/3/2003 | 2/17/2003 |
| 09792909-4672      | 09/551432  | 3 Month Office Action/SONY*    | 1st Ext To Office Action       | 7/3/2003 | 6/3/2003  |
| 09792909-4672      | 09/551432  | 3 Month Office Action/SONY*    | Postcard-OA (Fax)              | 7/3/2003 | 6/3/2003  |
| 09792909-0359      | 09/594780  | Notice of Abandonment 2*       | Notice of Abandonment          | 7/3/2003 | 7/18/2003 |
| 09793822-0019      | 09/601082  | Issued Patent Rec'd?           | Issued Patent Rec'd?           | 7/3/2003 | 6/18/2003 |
| 40018160-0008      | 09/628443  | Final Office Action*           | 1st Ext to Final OA/Appeal     | 7/3/2003 | 8/6/2003  |
| 09792909-4661      | 09/689555  | Formal Drawings Filed*         | Formal Drawings Filed          | 7/3/2003 | 7/3/2003  |
| 09792909-4661      | 09/689555  | Restiction Requirement/SONY*   | 4th Ext to Restriction         | 7/3/2003 | 2/24/2003 |
| 09792909-4682      | 09/707187  | 3 Month Office Action/SONY*    | Response To Office Action      | 7/3/2003 | 4/23/2003 |
| 09791320-0001      | 09/718446  | Issued Patent Rec'd?           | Issued Patent Rec'd?           | 7/3/2003 | 6/11/2003 |
| 09792909-4917      | 09/732072  | Issued Patent Rec'd?           | Issued Patent Rec'd?           | 7/3/2003 | 6/11/2003 |
| 80005161-0025      | 09/777538  | Cart. Of Correction Rec'd ?    | Cart. Of Correction Rec'd ?    | 7/3/2003 | 8/5/2003  |
| 09792909-4784      | 09/783914  | IDS-Supplemental*              | IDS-Supplemental Filed         | 7/3/2003 | 7/3/2003  |
| 09792909-4784      | 09/783914  | Pat. To Withdraw From Issuance | Pat. To Withdraw From Issuance | 7/3/2003 | 7/3/2003  |
| 09800080-0136      | 09/784810  | Restiction Requirement*        | Final Extension                | 7/3/2003 | 7/3/2003  |
| 09796503-0136      | 09/787193  | 3 Month Office Action*         | 1st Ext to Office Action       | 7/3/2003 | 5/1/2003  |
| 09792909-4803      | 09/800859  | Issued Patent Rec'd?           | Issued Patent Rec'd?           | 7/3/2003 | 6/18/2003 |
| 09792909-4713      | 09/813551  | 3 Month Office Action/SONY*    | Final Ext To Office Action     | 7/3/2003 | 3/28/2003 |
| 09792909-4824      | 09/816046  | Issued Patent Rec'd?           | Issued Patent Rec'd?           | 7/3/2003 | 6/11/2003 |
| 09792909-5112      | 09/862569  | LTC - Letters Patent           | LTC - Let Pat Acknowledgement  | 7/3/2003 | 7/17/2004 |
| 09792909-8402      | 09/868360  | Application Status Check       | Application Status Check       | 7/3/2003 | 4/28/2005 |
| 09792909-5039      | 09/871033  | 3 Month Office Action*         | 2nd Ext to Office Action       | 7/3/2003 | 5/5/2003  |
| 70012200-0017-0008 | 09/902078  | Issued Patent Rec'd?           | Issued Patent Rec'd?           | 7/3/2003 | 6/11/2003 |
| 09799910-0006      | 09/973477  | Final Office Action*           | 2 Months to Response Date      | 7/3/2003 | 12/2/2003 |

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|---------------|-----------|-------------------------------|--------------------------------|----------|-----------|
| 09792808-0435 | 09/994170 | Postcard-Issue Fee*           | Postcard-Issue Fee             | 7/3/2003 | 6/13/2003 |
| 09792808-5266 | 09/994270 | 3 Month Office Action/SONY*   | 2nd Ext To Office Action       | 7/3/2003 | 5/22/2003 |
| 09792808-5273 | 09/998418 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/15/2003 |
| 30014200-1084 | 10/021915 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/15/2003 |
| 09792808-5240 | 10/044662 | Notice Of Abandonment 2*      | Notice Of Abandonment          | 7/3/2003 | 7/8/2003  |
| 09792808-5338 | 10/073134 | Final Office Action/SONY*     | 2nd Ext to Final OA/Appeal     | 7/3/2003 | 6/4/2003  |
| 09792808-5338 | 10/073134 | Response to Advisory Action   | Response to Advisory Action    | 7/3/2003 | 6/4/2003  |
| 09792808-5338 | 10/073134 | 3 Month Office Action*        | 1st Ext to Office Action       | 7/3/2003 | 9/3/2003  |
| 09792808-5324 | 10/107668 | Restriction Requirement/SONY* | File Divisional Application?   | 7/3/2003 | 7/11/2003 |
| 09792808-5324 | 10/107668 | Restriction Requirement/SONY* | Response to Restriction        | 7/3/2003 | 7/11/2003 |
| 09792808-5324 | 10/169022 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/14/2003 |
| 09792808-5428 | 10/169393 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/14/2003 |
| 09792808-5430 | 10/181301 | LTC - Assignment Recordation  | LTC-Assignment Acknowledgement | 7/3/2003 | 6/8/2003  |
| 09792808-5445 | 10/189821 | Foreign Filing                | Foreign Filing Due             | 7/3/2003 | 7/3/2002  |
| 09792808-5445 | 10/189821 | Issued Patent Rec'd?          | Issued Patent Rec'd?           | 7/3/2003 | 6/11/2003 |
| 09792808-5444 | 10/189894 | Foreign Filing                | Foreign Filing Due             | 7/3/2003 | 7/3/2002  |
| 40018160-0036 | 10/190001 | Foreign Filing                | Foreign Filing Due             | 7/3/2003 | 7/3/2003  |
| 40018160-0035 | 10/191888 | 1 Month Office Action*        | 2nd Ext to Office Action       | 7/3/2003 | 4/25/2003 |
| 09792808-8457 | 10/221802 | Missing Requirements*         | 4th Ext To Missing Req.        | 7/3/2003 | 4/3/2003  |
| 09792808-0127 | 10/233142 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/15/2003 |
| 09792808-5484 | 10/240472 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/14/2003 |
| 09792808-5348 | 10/258499 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/15/2003 |
| 09792808-5511 | 10/275867 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/15/2003 |
| 70028060-0001 | 10/276216 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/3/2003  |
| 60020240-0001 | 10/280580 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/15/2003 |
| 30014200-1029 | 10/298980 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/15/2003 |
| 09792808-5522 | 10/300387 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/14/2003 |
| 30014200-1050 | 10/304198 | Missing Parts*                | Final Ext to Missing Parts     | 7/3/2003 | 2/24/2003 |
| 30014200-1050 | 10/304198 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/14/2003 |
| 09792808-0184 | 10/314858 | 3 Month Office Action/SONY*   | Reminder Letter To Sony        | 7/3/2003 | 9/17/2003 |
| 60019810-0008 | 10/323065 | Missing Parts*                | 2nd Ext to Missing Parts       | 7/3/2003 | 6/6/2003  |
| 09792808-5507 | 10/328118 | Notice Of Publication*        | Notice Of Publication          | 7/3/2003 | 7/15/2003 |
| 09792808-5506 | 10/328688 | LTC - Assignment Recordation  | LTC-Assignment Acknowledgement | 7/3/2003 | 7/3/2003  |

Page 3

PAGE 24/54 \* RCVD AT 3/2/2006 4:47:32 PM [Eastern Standard Time] \* SVR:USPTO-EFXXRF-2/1 \* DNIS:2738300 \* CSID:Sonnenschein LLP CDT \* DURATION (mm-ss):17-36



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Fukuzawa, Y. et al.

Serial No.: 09/486,865

Filed: March 2, 2000

For: COMPILING APPARATUS AND  
COMPILING METHOD

Case No. 09792909-6482  
(formerly KOIK-P9784)

Group Art Unit: 2122

Examiner: Unknown

**Certificate of Mailing (37 CFR 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Petition  
Office of Patent Legal Administration  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date of Deposit: October 19, 2005

*Paula M. Thelsmann* 10-19-05  
Paula M. Thelsmann Date

DECLARATION OF DAVID R. METZGER

Mail Stop Petition  
Office of Patent Legal Administration  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

S I R:

I, David R. Metzger, hereby declare as follows:

I am over the age of 18 years and have been the practitioner responsible for US Application 09/486,865 since the file's transfer from former counsel Limbach & Limbach on January 26, 2001.

Prior to 2004, the Intellectual Property and Technology Docket Department (IPT Docket) of Sonnenschein Nath & Rosenthal LLP (SNR) employed different ways to notify practitioners as to upcoming due dates.

During May to July, 2003, IPT Docket sent out via e-mail, mostly on a daily basis, due date lists output from a reporting function in the docketing system. These reports were individualized for the recipient practitioner, as opposed to firm-wide comprehensive due date lists.

As a matter of ordinary and customary business practice I retained and retain copies of e-mails and attachments received by me relating to business matters. I was able to locate copies of e-mails sent to me advising as to responses due on May 3, June 3, July 3, 2003 for matters within my responsibility.

Attached hereto as Exhibit G are copies of the due date print out including due dates for May 3, 2003 and the cover e-mail, for matters falling with my responsibility. There is no entry for anything due for US Application 09/486,865.

Attached hereto as Exhibit H are copies of the due date list including due dates for June 3, 2003 and the cover e-mail, for matters falling with my responsibility. There is no entry for anything due for US Application 09/486,865.

Attached hereto as Exhibit I are copies of the due date list including due dates for July 3, 2003 and the cover e-mail, for matters falling with my responsibility. There is no entry for anything due for US Application 09/486,865.

If there had been an entry for US Application 09/486,865 in any of these docket records, that would indicate prior receipt of a communication for which a response was due

Because there was no indication of anything due for US Application 09/486,865 in any of these reports, this is a clear indication on practitioner's docket record that the office action was never received by practitioner's firm for any communication dated April 3, 2003

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code; and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

  
David R. Metzger

Date: October 19, 2005

**Metzger, David R.**

**Exhibit G**

**From:** Leah N. Tracy [Leah N. Tracy/CHI/SNR@SNR]  
**Sent:** Thursday, May 01, 2003 4:31 PM  
**To:** David R. Metzger  
**Cc:** Karen A. Turkowski  
**Subject:** Daily Due Dates and Reminders 5/3-5/5/03

Please find attached your list of items due for Saturday 5/3/03 thru Monday 5/5/03 in report form. Please notify Docket if you would like to extend or clear any dates.

Thank you!



dvm.pdf (32 KB)

Leah N. Tracy

Agency Intellectual Property and Technology Docket  
Sonnenschein, Nath, and Rosenthal  
312-876-7406  
ltracy@sonnenschein.com

Thursday, May 01, 2003

## Due Date List by Client

Page: 1

From 03-May-2003 To 05-May-2003

Client: 09793822 A. Kolke &amp; Co.

| Due Date/<br>Date Type  | Reason for Date            | Action Base Date/<br>Action Type           | Client-Matter No | Matter<br>Number | Ctry | Application<br>Number | Filing<br>Date | Confirm<br>No. | Attys |
|---|----------------------------|--|------------------|------------------|------|-----------------------|----------------|----------------|-------|
| 03-May-2003<br>Reminder   | Reminder Letter To<br>Sony | 19-Mar-2003<br>3 Month Office Action/SONY* | 09793822-0139    | 0139             | US   | 09/673202             | 04-Dec-2000    |                | DVM   |
| Status: Pending Client Ref: SK00PCT24US<br>Title:   |                            |  |                  |                  |      |                       |                |                |       |
| 03-May-2003<br>Reminder   | Reminder Letter To<br>Sony | 19-Mar-2003<br>3 Month Office Action/SONY* | 09793822-0151    | 0151             | US   | 09/607345             | 17-Jul-2001    | 8187           | DVM   |
| Status: Pending Client Ref: SK01P1US00<br>Title: METHOD FOR LIGHT EXPOSURE  |                            |  |                  |                  |      |                       |                |                |       |
| 04-May-2003<br>Reminder   | Reminder Letter To<br>Sony | 20-Mar-2003<br>3 Month Office Action/SONY* | 09793822-0165    | 0165             | US   | 10/165394             | 07-Jun-2002    | 3851           | DVM   |
| Status: Pending Client Ref: SK02P07US00<br>Title: RESIN COMPONENT FOR ENCAPSULATING SEMICONDUCTOR AND SEMICONDUCTOR DEVICE USING IT |                            |  |                  |                  |      |                       |                |                |       |
| 05-May-2003<br>Due Date   | Response to Final OA       | 05-Feb-2003<br>Final Office Action/SONY*   | 09793822-0003    | 0003             | US   | 09/485206             | 12-May-2000    | 1647           | DVM   |
| Status: Pending Client Ref: SK99PCT39US<br>Title: OPTICAL RECORDING MEDIUM  |                            |  |                  |                  |      |                       |                |                |       |
| Status: Pending Client Ref: SK00PCT24US<br>Title:   |                            |  |                  |                  |      |                       |                |                |       |
| Status: Pending Client Ref: SK01P1US00<br>Title: METHOD FOR LIGHT EXPOSURE  |                            |  |                  |                  |      |                       |                |                |       |
| Status: Pending Client Ref: SK02P07US00<br>Title: RESIN COMPONENT FOR ENCAPSULATING SEMICONDUCTOR AND SEMICONDUCTOR DEVICE USING IT |                            |  |                  |                  |      |                       |                |                |       |
| Status: Pending Client Ref: SK99PCT39US<br>Title: OPTICAL RECORDING MEDIUM  |                            |  |                  |                  |      |                       |                |                |       |

| Due Date List by Client  |                              |  |                  |                  |      |                       |                |                |            |
|--|------------------------------|--|------------------|------------------|------|-----------------------|----------------|----------------|------------|
| Thursday, May 01, 2003   |                              |  |                  |                  |      |                       |                |                |            |
| Page: 2  |                              |  |                  |                  |      |                       |                |                |            |
| Client: 09793822 A. Kolke & Co.  |                              |  |                  |                  |      |                       |                |                |            |
| From 03-May-2003 To 05-May-2003  |                              |  |                  |                  |      |                       |                |                |            |
| Due Date/<br>Date Type   | Reason for Date              | Action Base Date/<br>Action Type           | Client-Matter No | Matter<br>Number | City | Application<br>Number | Filing<br>Date | Confirm<br>No. | Attys      |
| 05-May-2003<br>Due Date  | Response To Office<br>Action | 05-Feb-2003<br>3 Month Office Action/SONY* | 09793822-0017    | 0017             | US   | 09582752              | 30-Jun-2000    |                | DVM<br>JFC |
| Status: Pending Client Ref: SK99PCT81US  |                              |  |                  |                  |      |                       |                |                |            |
| Title: NON-AQUEOUS ELECTROLYTE BATTERY AND MANUFACTURING METHOD THEREFOR   |                              |  |                  |                  |      |                       |                |                |            |
| 05-May-2003<br>Due Date  | Response To Office<br>Action | 05-Feb-2003<br>3 Month Office Action/SONY* | 09793822-0065    | 0064             | US   | 08982898              | 02-Dec-1997    | 9839           | DVM<br>BQG |
| Status: Pending Client Ref: SK97P21US00  |                              |  |                  |                  |      |                       |                |                |            |
| Title: MATERIAL FOR CASING FOR ACOUSTIC EQUIPMENT AND CASING FOR ACOUSTIC EQUIPMENT<br>FABRICATED THEREFROM                                    |                              |  |                  |                  |      |                       |                |                |            |
| 05-May-2003<br>Reminder  | Reminder Letter To<br>Sony   | 21-Mar-2003<br>3 Month Office Action/SONY* | 09793822-0144    | 0144             | US   | 09659060              | 16-May-2001    | 4363           | DVM<br>BQG |
| Status: Pending Client Ref: S97PCT3ZUS01   |                              |  |                  |                  |      |                       |                |                |            |
| Title: ANODE MATERIAL FOR NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY AND NON-AQUEOUS<br>ELECTROLYTE SECONDARY BATTERY USING SUCH ANODE MATERIAL |                              |  |                  |                  |      |                       |                |                |            |

| Thursday, May 01, 2003                           |                              | Due Date List by Client               |                  |                  |                                     |                | Page: 3              |
|--|------------------------------|---------------------------------------|------------------|------------------|-------------------------------------|----------------|----------------------|
| Client: 09798731 American Services Network, P.C. |                              | From 03-May-2003 To 05-May-2003       |                  |                  |                                     |                |                      |
| Due Date/<br>Date Type                           | Reason for Date              | Action Base Date/<br>Action Type      | Client-Matter No | Matter<br>Number | Application<br>Number               | Filing<br>Date | Confirm<br>No. Attys |
| 03-May-2003<br>Reminder                          | 1 Month to Response<br>to OA | 03-Mar-2003<br>3 Month Office Action* | 09798731-0013    | 0013             | US 10078165                         | 19-Feb-2002    | 5560 DVM<br>3AS      |
| Status: Pending                                  |                              | Client Ref:                           |                  | Office: CH       | 1st Inventor:<br>GARBER, MELISSA E. |                |                      |
| Title: NETWORK BASED LEGAL SERVICES SYSTEM       |                              |                                       |                  |                  |                                     |                |                      |

| Due Date List by Client  |                                  |  |                  |                  |      |                       |                |                |            |
|--|----------------------------------|--|------------------|------------------|------|-----------------------|----------------|----------------|------------|
| Thursday, May 01, 2003   |                                  |  |                  |                  |      |                       |                |                |            |
| Page: 4  |                                  |  |                  |                  |      |                       |                |                |            |
| Client: 09792350 ARRYX, INC  |                                  |  |                  |                  |      |                       |                |                |            |
| From 03-May-2003 To 05-May-2003  |                                  |  |                  |                  |      |                       |                |                |            |
| Due Date/<br>Date Type   | Reason for Data                  | Action Base Date/<br>Action Type             | Client-Matter No | Matter<br>Number | Ctry | Application<br>Number | Filing<br>Date | Confirm<br>No. | Attys      |
| 04-May-2003<br>Due Date  | Req. to Change Follow<br>Up Date | 04-Nov-2002<br>Req. to Change Agent Ref. No. | 09792350-0045    | 0045             | WO   | US02/11586            | 12-Apr-2002    |                | DVM<br>JCE |
| Status: Published Client Ref:  |                                  |  |                  |                  |      |                       |                |                |            |
| Title: CONFIGURABLE DYNAMIC THREE DIMENSIONAL ARRAY                      |                                  |  |                  |                  |      |                       |                |                |            |
| 04-May-2003<br>Due Date  | File Application or Ext<br>date? | 17-Apr-2003<br>File Application or Ext date? | 09792350-0055    | 0055             | US   |                       |                |                | DVM<br>JCE |
| Status: Unfiled Client Ref:  |                                  |  |                  |                  |      |                       |                |                |            |
| Title: BROAD SPECTRUM OPTICALLY ADDRESSED CHEMICAL AND BIOLOGICAL SENSOR |                                  |  |                  |                  |      |                       |                |                |            |

Thursday, May 01, 2003 **Due Date List by Client** Page: 5

From 03-May-2003 To 05-May-2003

Client: 09792486 SHIN-YU INTERNATIONAL PATENT FIRM

| Due Date/<br>Date Type  | Reason for Date              | Action Base Date/<br>Action Type     | Client-Matter No | Matter<br>Number   | Country | Application<br>Number | Filing<br>Date                                     | Confirm<br>No. | Attys      |
|---|------------------------------|--------------------------------------|------------------|--------------------|---------|-----------------------|--|----------------|------------|
| 03-May-2003<br>Due Date   | Postcard - Missing<br>Req.?  | 03-Jan-2003<br>Missing Requirements* | 09792486-0129    | 0129<br>Office: CH | US      | 10/22/802             | 16-Jan-2002<br>1st Inventor:<br>KANEMATSU, SHIGERU |                | DVM        |
| Status: Pending Client Ref: 02-US10PCT366<br>Title: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD THEREOF   |                              |                                      |                  |                    |         |                       |  |                |            |
| 04-May-2003<br>Reminder   | Issue Fee Due In 2<br>Months | 04-Apr-2003<br>Allowance*            | 09792486-0114    | 0114<br>Office: CH | US      | 10072351              | 07-Feb-2002<br>1st Inventor:<br>IIDIMA, TOMOO      | 4200           | DVM<br>CPR |
| Status: Allowed Client Ref: 99-US01<br>Title: FABRICATION METHOD OF WIRING SUBSTRATE FOR MOUNTING SEMICONDUCTOR ELEMENT AND<br>SEMICONDUCTOR DEVICE |                              |                                      |                  |                    |         |                       |  |                |            |



Thursday, May 01, 2003

## Due Date List by Client

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From 03-May-2003 To 05-May-2003

Client: 09792902 Sony Corporation

| Due Date/<br>Date Type   | Reason for Date                   | Action Base Date/<br>Action Type           | Client-Matter No | Matter<br>Number   | Ctry | Application<br>Number                            | Filing<br>Date | Confirm<br>No. | Atty's     |
|--|-----------------------------------|--|------------------|--------------------|------|--|----------------|----------------|------------|
| 03-May-2003<br>Reminder  | Reminder Letter To<br>Sony        | 19-Mar-2003<br>3 Month Office Action/SONY* | 09792909-0425    | 0425<br>Office: CH | US   | 09/671436<br>1st Inventor:<br>ASAI, NOBUTOSHI    | 27-Sep-2000    | DVM            | AZF        |
| Status: Pending Client Ref: S00P1138US00                             |                                   |  |                  |                    |      |  |                |                |            |
| Title: PRINTED WIRING BOARD AND DISPLAY APPARATUS                    |                                   |  |                  |                    |      |  |                |                |            |
| 03-May-2003<br>Reminder  | 11.5 Main Fee<br>Reminder 1 Month | 03-Dec-1991<br>Tax 11.5                    | 09792909-1292    | 1292<br>Office: CH | US   | 07/317290<br>1st Inventor:<br>AKAGI, KENZO       | 28-Feb-1989    | DVM            |            |
| Status: Granted Client Ref: S89P0054US00                             |                                   |  |                  |                    |      |  |                |                |            |
| Title: METHOD AND APPARATUS FOR PROCESSING DIGITAL SIGNAL            |                                   |  |                  |                    |      |  |                |                |            |
| 03-May-2003<br>Reminder  | 11.5 Main Fee<br>Reminder 1 Month | 03-Dec-1991<br>Tax 11.5                    | 09792909-1395    | 1395<br>Office: CH | US   | 07/505157<br>1st Inventor:<br>MIYAI, FUMIO       | 05-Apr-1990    | DVM            |            |
| Status: Granted Client Ref: S90P0115US00                             |                                   |  |                  |                    |      |  |                |                |            |
| Title: STATIC RANDOM ACCESS MEMORY                                   |                                   |  |                  |                    |      |  |                |                |            |
| 03-May-2003<br>Reminder  | 1 Month To Response<br>To O.A.    | 03-Mar-2003<br>3 Month Office Action/SONY* | 09792909-4117    | 4117<br>Office: CH | US   | 09/273270<br>1st Inventor:<br>ISHIBASHI, TADASHI | 22-Mar-1999    | 6903           | DVM<br>JFC |
| Status: Pending Client Ref: S99P0302US00                             |                                   |  |                  |                    |      |  |                |                |            |
| Title: ORGANIC ELECTROLUMINESCENT DEVICE AND METHOD FOR PRODUCING IT |                                   |  |                  |                    |      |  |                |                |            |

Thursday, May 01, 2003

## Due Date List by Client

Page: 7

From 03-May-2003 To 05-May-2003

Client: 09792902 Sony Corporation

| Due Date/<br>Date Type  | Reason for Date  | Action Base Date/<br>Action Type      | Client-Matter No       | Matter<br>Number   | Ctry | Application<br>Number                              | Filing<br>Date | Confirm<br>No. | Attys      |
|-------------------------|--|---------------------------------------|------------------------|--------------------|------|--|----------------|----------------|------------|
| 03-May-2003<br>Reminder | 1 Month To Response<br>To O.A.   | 03-Mar-2003<br>3 Month Office Action  | 09792909-4872<br>SONY* | 4872<br>Office: CH | US   | 09/531432<br>1st Inventor:<br>MOGI, TAKAYUKI       | 18-Apr-2000    |                | DVM<br>JCE |
|                         | Status: Pending  | Client Ref: S00P0475US00              |                        |                    |      |  |                |                |            |
|                         | Title: OPTICAL TRANSMITTER RECEIVER AND METHOD OF OPTICAL TRANSMISSION AND RECEPTION   |                                       |                        |                    |      |  |                |                |            |
| 03-May-2003<br>Due Date | Response to Office<br>Action   | 03-Feb-2003<br>3 Month Office Action* | 09792909-5039          | 5039<br>Office: CH | US   | 09/871033<br>1st Inventor:<br>GOSAIN, DHARAM PAL   | 31-May-2001    | 8174           | DVM<br>CPR |
|                         | Status: Pending  | Client Ref: S01P0808US00              |                        |                    |      |  |                |                |            |
|                         | Title: METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE  |                                       |                        |                    |      |  |                |                |            |
| 03-May-2003<br>Due Date | Response To Office<br>Action   | 02-Feb-2003<br>3 Month Office Action  | 09792909-5266<br>SONY* | 5266<br>Office: CH | US   | 09/994270<br>1st Inventor:<br>SUGANAMA, HIROSHI    | 26-Nov-2001    | 8604           | DVM        |
|                         | Status: Pending  | Client Ref: S01P1669US00              |                        |                    |      |  |                |                |            |
|                         | Title: HALOGRAM RECORDING MEDIUM, HALOGRAM RECORDING/RECONSTRUCTING APPARATUS, AND<br>HALOGRAM RECORDING/RECONSTRUCTING METHOD |                                       |                        |                    |      |  |                |                |            |
| 03-May-2003<br>Due Date | Response to Final OA   | 03-Feb-2003<br>Final Office Action    | 09792909-5338<br>SONY* | 5338<br>Office: CH | US   | 10/073134<br>1st Inventor:<br>SHIMIZUME, KAZUTOSHI | 11-Feb-2002    | 6397           | DVM<br>JCE |
|                         | Status: Pending  | Client Ref: S02P0126US00              |                        |                    |      |  |                |                |            |
|                         | Title: SEMICONDUCTOR DEVICE CARRYING A PLURALITY OF CIRCUITS   |                                       |                        |                    |      |  |                |                |            |

| Due Date List by Client   |                                  |  |                  |                    |         |   |                |                |            |
|---|----------------------------------|--|------------------|--------------------|---------|---|----------------|----------------|------------|
| Thursday, May 01, 2003  |                                  |  |                  |                    |         |   |                |                |            |
| Page: 8   |                                  |  |                  |                    |         |   |                |                |            |
| Client: 09792902 Sony Corporation   |                                  |  |                  |                    |         |   |                |                |            |
| From 03-May-2003 To 05-May-2003   |                                  |  |                  |                    |         |   |                |                |            |
| Due Date/<br>Date Type  | Reason for Date                  | Action Base Date/<br>Action Type           | Client-Matter No | Matter<br>Number   | Country | Application<br>Number                         | Filing<br>Date | Confirm<br>No. | Attys      |
| 03-May-2003<br>Due Date   | Postcard - Missing<br>Req.?      | 13-Dec-2002<br>Missing Requirements*       | 09792909-5495    | 5495<br>Office: CH | US      | 10239542<br>1st Inventor:<br>NOGUCHI, TSUTOMU | 23-Sep-2002    |                | DVM        |
| Status: Pending Client Ref: S02P0084US00  |                                  |  |                  |                    |         |   |                |                |            |
| Title: REACTOR  |                                  |  |                  |                    |         |   |                |                |            |
| 04-May-2003<br>Reminder   | Issue Fee Due In 2<br>Months     | 04-Apr-2003<br>Allowance*                  | 09792909-0326    | 0326<br>Office: CH | US      | 09/561941<br>1st Inventor:<br>KATO, MASUO     | 01-May-2000    | 6732           | DVM<br>JCE |
| Status: Allowed Client Ref: S00P0543US00  |                                  |  |                  |                    |         |   |                |                |            |
| Title: MOUNTING STRUCTURE OF A SEMICONDUCTOR DEVICE, AND A PROCESS FOR MOUNTING A<br>SEMICONDUCTOR DEVICE ON A MOUNTING SUBSTRATE |                                  |  |                  |                    |         |   |                |                |            |
| 04-May-2003<br>Due Date   | Response To Office<br>Action     | 04-Feb-2003<br>3 Month Office Action/SONY* | 09792909-4649    | 4649<br>Office: CH | US      | 09/689987<br>1st Inventor:<br>NISHIHARA, JUN  | 12-Oct-2000    |                | DVM<br>JFC |
| Status: Pending Client Ref: S00P1213US00  |                                  |  |                  |                    |         |   |                |                |            |
| Title: CHEMICAL MECHANICAL POLISHING APPARATUS AND A METHOD OF CHEMICAL MECHANICAL<br>POLISHING USING THE SAME                    |                                  |  |                  |                    |         |   |                |                |            |
| 04-May-2003<br>Reminder   | 1 month to Issue<br>Fee/Drawings | 04-Mar-2003<br>Allowance w/ Drawings*      | 09792909-4780    | 4780<br>Office: CH | US      | 09/790017                                     | 21-Feb-2001    | 4450           | DVM        |
| Status: Allowed Client Ref: S01P0226US00  |                                  |  |                  |                    |         |   |                |                |            |
| Title:  |                                  |  |                  |                    |         |   |                |                |            |

Thursday, May 01, 2003

## Due Date List by Client

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From 03-May-2003 To 05-May-2003

Client: 09792902 Sony Corporation

| Due Date/<br>Data Type  | Reason for Date  | Action Base Date/<br>Action Type             | Client-Matter No | Matter<br>Number | Ctry | Application<br>Number                 | Filing<br>Date | Confirm<br>No. | Atty's |
|-------------------------|--|--|------------------|------------------|------|---------------------------------------|----------------|----------------|--------|
| 04-May-2003<br>Due Date | Response to Advisory<br>Action                                   | 04-May-2003<br>Response to Advisory Action   | 09792909-5096    | 5096             | US   | 09/907373                             | 17-Jul-2001    | 6501           | DVM    |
|                         | Status: Pending  | Client Ref: S01P1036US00                     |                  | Office: CH       |      | 1st Inventor:<br>MIZUMURA, AKIRA      |                |                | CFR    |
|                         | Title: METHOD OF PRODUCING A SEMICONDUCTOR DEVICE                |  |                  |                  |      |                                       |                |                |        |
| 04-May-2003<br>Due Date | 2nd Ext to Final<br>OA/Appeal                                    | 04-Dec-2002<br>Final Office Action/SONY*     | 09792909-5096    | 5096             | US   | 09/907373                             | 17-Jul-2001    | 6501           | DVM    |
|                         | Status: Pending  | Client Ref: S01P1036US00                     |                  | Office: CH       |      | 1st Inventor:<br>MIZUMURA, AKIRA      |                |                | CFR    |
|                         | Title: METHOD OF PRODUCING A SEMICONDUCTOR DEVICE                |  |                  |                  |      |                                       |                |                |        |
| 04-May-2003<br>Due Date | Postcard--Restriction?   | 25-Mar-2003<br>Restriction Requirement/SONY* | 09792909-5136    | 5136             | US   | 09/941523                             | 29-Aug-2001    | 4304           | DVM    |
|                         | Status: Pending  | Client Ref: S01P1286US00                     |                  | Office: CH       |      | 1st Inventor:<br>KASHIWAGI, TOSHIYUKI |                |                |        |
|                         | Title: OPTICAL RECORDING MEDIUM AND METHOD OF PRODUCING THE SAME |  |                  |                  |      |                                       |                |                |        |
| 04-May-2003<br>Due Date | 1st Ext To Missing Req.  | 04-Feb-2003<br>Missing Requirements*         | 09792909-5538    | 5538             | US   | 10/312467                             | 23-Dec-2002    |                | DVM    |
|                         | Status: Pending  | Client Ref: S02P0538US00                     |                  | Office: CH       |      | 1st Inventor:<br>ODAGIRI, HIROKAZU    |                |                |        |
|                         | Title: RECORDING MEDIUM CARTRIDGE                                |  |                  |                  |      |                                       |                |                |        |

Thursday, May 01, 2003

## Due Date List by Client

Page: 10

From 03-May-2003 To 05-May-2003

Client: 09792902 Seary Corporation

| Due Date/<br>Date Type   | Reason for Date                   | Action Base Date/<br>Action Type           | Client-Matter No | Matter<br>Number | Qty | Application<br>Number            | Filing<br>Date | Confirm<br>No. | Attys |
|--|-----------------------------------|--|------------------|------------------|-----|----------------------------------|----------------|----------------|-------|
| 04-May-2003<br>Reminder  | Corrected Papers Due<br>In 1 Mo   | 04-Apr-2003<br>Corrected Appln Papers Due* | 09792909-5556    | 5556             | US  | 10328630                         | 23-Dec-2002    | 8813           | DVM   |
| Status: Pending  |                                   | Client Ref: S00P1231US00                   |                  | Office: CH       |     | 1st Inventor:<br>CHIBA, YASUHIRO |                |                |       |
| Title: DISPLAY APPARATUS AND METHOD FOR FABRICATING THE SAME   |                                   |  |                  |                  |     |                                  |                |                |       |
| 05-May-2003<br>Reminder  | 11.5 Main Fee<br>Reminder 6 Month | 05-May-1992<br>Tax 11.5                    | 09792909-1347    | 1347             | US  | 07/408079                        | 15-Sep-1989    |                | DVM   |
| Status: Granted  |                                   | Client Ref: S89P0191US00                   |                  | Office: CH       |     | 1st Inventor:<br>FUJIE, KAZUHIKO |                |                |       |
| Title: RECORDABLE OPTICAL DISC STORAGE DEVICE WITH LIMITED STORAGE CAPACITY, AND RECORDING APPARATUS THEREFOR (AS AMENDED) |                                   |  |                  |                  |     |                                  |                |                |       |
| 05-May-2003<br>Reminder  | 11.5 Main Fee<br>Reminder 6 Month | 05-May-1992<br>Tax 11.5                    | 09792909-1397    | 1397             | US  | 07/509751                        | 17-Apr-1990    |                | DVM   |
| Status: Granted  |                                   | Client Ref: S90P0091US00                   |                  | Office: CH       |     | 1st Inventor:<br>KUME, HISAO     |                |                |       |
| Title: GRID APPARATUS FOR A COLOR CATHODE RAY TUBE WHICH ELIMINATES VIBRATION OF THE GRIDS                                 |                                   |  |                  |                  |     |                                  |                |                |       |
| 05-May-2003<br>Reminder  | 11.5 Main Fee<br>Reminder 6 Month | 05-May-1992<br>Tax 11.5                    | 09792909-1457    | 1457             | US  | 07/566824                        | 14-Aug-1990    |                | DVM   |
| Status: Granted  |                                   | Client Ref: S90P0255US00                   |                  | Office: CH       |     | 1st Inventor:<br>HIRATA, SHOJI   |                |                |       |
| Title: SEMICONDUCTOR LASER (AS AMENDED)  |                                   |  |                  |                  |     |                                  |                |                |       |

| Thursday, May 01, 2003   |                                   | Due Date List by Client           |                  |                      |  |                | Page: 11             |
|--|-----------------------------------|-----------------------------------|------------------|----------------------|--|----------------|----------------------|
| Client: 09792902 Sony Corporation  |                                   | From 03-May-2003 To 05-May-2003   |                  |                      |  |                |                      |
| Due Date/<br>Date Type   | Reason for Date                   | Action Basic Date/<br>Action Type | Client-Matter No | Matter<br>Number Chy | Application<br>Number                            | Filing<br>Date | Confirm<br>No. Attys |
| 05-May-2003<br>Reminder  | 11.5 Main Fee<br>Reminder 6 Month | 05-May-1992<br>Tax 11.5           | 09792909-1504    | 1504<br>Office: CH   | 07/611503<br>1st Inventor:<br>FUNAYAMA, HIDEHIKO | 13-Nov-1990    | DVM                  |
| Status: Granted Client Ref: S90P0357US00<br>Title: ROLL PAPER FOR THERMAL PRINTER  |                                   |                                   |                  |                      |  |                |                      |
| 05-May-2003<br>Reminder  | 7.5 Main Fee Reminder<br>1 Month  | 05-Dec-1995<br>Tax 7.5            | 09792909-1954    | 1954<br>Office: CH   | 07/883547<br>1st Inventor:<br>URYU, MASARU       | 15-May-1992    | DVM                  |
| Status: Granted Client Ref: S92P0338US00<br>Title: ACOUSTIC VIBRATION PLATE (AS AMENDED)   |                                   |                                   |                  |                      |  |                |                      |
| 05-May-2003<br>Reminder  | 7.5 Main Fee Reminder<br>1 Month  | 05-Dec-1995<br>Tax 7.5            | 09792909-2150    | 2150<br>Office: CH   | 08/320119<br>1st Inventor:<br>OGAWA, TOHRU       | 11-Oct-1994    | DVM                  |
| Status: Granted Client Ref: S92P0921US00<br>Title: METHOD OF FORMING A RESIST PATTERN BY USING AN ANTI-REFLECTIVE LAYER  |                                   |                                   |                  |                      |  |                |                      |
| 05-May-2003<br>Reminder  | 7.5 Main Fee Reminder<br>1 Month  | 05-Dec-1995<br>Tax 7.5            | 09792909-2226    | 2226<br>Office: CH   | 08/301980<br>1st Inventor:<br>YONEMOTO, KAZUYA   | 07-Sep-1994    | DVM                  |
| Status: Granted Client Ref: S93P0133US00<br>Title: SEMICONDUCTOR DEVICE OF A FIRST CONDUCTIVITY TYPE WHICH HAS A FIRST WELL OF A SECOND CONDUCTIVITY TYPE FORMED THEREIN AND A SECOND WELL OF THE FIRST CONDUCTIVITY TYPE FORMED IN THE FIRST WELL AND A PAIR OF MOSFET FORMED IN THE FIRST AND SECOND WELL (AS AM |                                   |                                   |                  |                      |  |                |                      |



Thursday, May 01, 2003

## Due Date List by Client

Page: 13

From 03-May-2003 To 05-May-2003

Client: 99792902 Sony Corporation

| Due Date/<br>Date Type   | Reason for Date                  | Action Base Date/<br>Action Type           | Client-Matter No | Matter<br>Number   | Country | Application<br>Number                             | Filing<br>Date | Confirm<br>No. | Atty       |
|--|----------------------------------|--|------------------|--------------------|---------|---|----------------|----------------|------------|
| 05-May-2003<br>Due Date  | Response to Final OA             | 05-Feb-2003<br>Final Office Action/SONY*   | 09792909-4668    | 4668<br>Office: CH | US      | 09/897473<br>1st Inventor:<br>SHIMOUMA, TAKAHASHI | 26-Oct-2000    | 4504           | DVM<br>TJB |
| Status: Pending Client Ref: S00P1315US00<br>Title: MAGNETO-OPTICAL RECORDING MEDIUM  |                                  |  |                  |                    |         |   |                |                |            |
| 05-May-2003<br>Final   | Final-Resp After<br>Final/Appeal | 05-Nov-2002<br>Final Office Action/SONY*   | 09792909-5122    | 5122<br>Office: CH | US      | 09/925153<br>1st Inventor:<br>KAWAL HIROJI        | 08-Aug-2001    | 3081           | DVM<br>CPR |
| Status: Pending Client Ref: S01P1473US00<br>Title: INSULATING NITRIDE LAYER AND PROCESS FOR ITS FORMING AND SEMICONDUCTOR DEVICE AND<br>PROCESS FOR ITS PRODUCTION   |                                  |  |                  |                    |         |   |                |                |            |
| 05-May-2003<br>Due Date  | Response To Office<br>Action     | 05-Feb-2003<br>3 Month Office Action/SONY* | 09792909-5132    | 5132<br>Office: CH | US      | 09/943893<br>1st Inventor:<br>FUTAMARA, ICHIRO    | 31-Aug-2001    | 2067           | DVM<br>CPR |
| Status: Pending Client Ref: S01P1294US00<br>Title: PERSON AUTHENTICATION SYSTEM, PERSON AUTHENTICATION METHOD, INFORMATION PROCESSING<br>APPARATUS, AND PROGRAM PROVIDING MEDIUM   |                                  |  |                  |                    |         |   |                |                |            |
| 05-May-2003<br>Reminder  | 1 Month To Response<br>To O.A.   | 05-Mar-2003<br>3 Month Office Action/SONY* | 09792909-5195    | 5195<br>Office: CH | US      | 09/946654<br>1st Inventor:<br>NAKAJIMA, HIDEHARU  | 05-Sep-2001    | 4749           | DVM<br>MQT |
| Status: Pending Client Ref: S01P1383US00<br>Title: PROCESS FOR PRODUCTION OF THIN FILM, SEMICONDUCTOR THIN FILM, SEMICONDUCTOR DEVICE,<br>PROCESS FOR PRODUCTION OF SEMICONDUCTOR THIN FILM AND APPARATUS FOR PRODUCTION OF<br>SEMICONDUCTOR THIN FILM |                                  |  |                  |                    |         |   |                |                |            |



| Due Date List by Client  |                                  |   |                  |                  |      |                       |   |                |            |
|--|----------------------------------|---|------------------|------------------|------|-----------------------|---|----------------|------------|
| Thursday, May 01, 2003   |                                  |   |                  |                  |      |                       |   |                |            |
| Page: 14   |                                  |   |                  |                  |      |                       |   |                |            |
| Client: 99792902 Sony Corporation                                      |                                  |   |                  |                  |      |                       |   |                |            |
| From 03-May-2003 To 05-May-2003  |                                  |   |                  |                  |      |                       |   |                |            |
| Due Date/<br>Date Type   | Reason for Date                  | Action Base Date/<br>Action Type            | Client-Matter No | Matter<br>Number | City | Application<br>Number | Filing<br>Date                                    | Confirm<br>No. | Attys      |
| 05-May-2003<br>Due Date  | Sony Letter of<br>Recommendation | 31-Mar-2003<br>Final Office Action/SONY*    | 99792909-5260    | 5260             | US   | 10053468              | 07-Nov-2001<br>1st inventor:<br>MIYAMOTO, TAKAAKI | 3704           | DVM<br>BQG |
| Status: Pending Client Ref: S01P1645US00                               |                                  |   |                  |                  |      |                       |   |                |            |
| Title: PRINTER, PRINTER HEAD, AND METHOD OF PRODUCING PRINTER HEAD     |                                  |   |                  |                  |      |                       |   |                |            |
| 05-May-2003<br>Reminder  | Reminder Letter To<br>Sony       | 21-Mar-2003<br>3 Month Office Action/SONY*  | 99792909-5336    | 5336             | US   | 10073497              | 11-Feb-2002<br>1st inventor:<br>JOZAKI, TOMOHIRO  | 6007           | DVM<br>CTR |
| Status: Pending Client Ref: S02P0123US00                               |                                  |   |                  |                  |      |                       |   |                |            |
| Title: LOT MANAGEMENT PRODUCTION METHOD AND PRODUCT CARRYING CONTAINER |                                  |   |                  |                  |      |                       |   |                |            |
| 05-May-2003<br>Due Date  | Response To Office<br>Action     | 05-Feb-2003<br>3 Month Office Action/SONY*  | 99792909-5440    | 5440             | US   | 10180827              | 26-Jun-2002<br>1st inventor:<br>KOJIMA, SHIGERU   | 2439           | DVM<br>JCE |
| Status: Pending Client Ref: S99P0832US00                               |                                  |   |                  |                  |      |                       |   |                |            |
| Title: LIGHT EMITTING DEVICE AND PROCESS FOR PRODUCING THE SAME        |                                  |   |                  |                  |      |                       |   |                |            |
| 05-May-2003<br>Due Date  | File Application (Due<br>Date)   | 05-May-2003<br>File Application (Due Date)* | 99792909-5622    | 5622             | US   |                       | 1st inventor:<br>ASAOKA, SATOKU                   |                | DVM        |
| Status: Unfiled Client Ref: S02P1168US00                               |                                  |   |                  |                  |      |                       |   |                |            |
| Title: PLASTIC CARD  |                                  |   |                  |                  |      |                       |   |                |            |

**Metzger, David R.**

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**From:** Janet M. Sassenick [Janet M. Sassenick/CHI/SNR@SNR]  
**Sent:** Friday, May 30, 2003 5:16 PM  
**To:** David R. Metzger  
**Cc:** Karen A. Turkowski; Patricia Contreras  
**Subject:** Reminders/Due Date List for 6/3/03

**Exhibit H**

Please find attached your list of items due for 6/3/03 in report form. Please notify Docket if you would like to extend or clear any dates.



DVM.pdf (10 KB)

Thanks.

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Friday, May 30, 2003

## Due Date List by Client

From 03-Jun-2003 To 03-Jun-2003

Client: 02798731 American Services Network, P.C.

| Due Date/<br>Date Type  | Reason for Date              | Action Base Date/<br>Action Type      |  | Client-Matter No                           | Matter<br>Number | Ctry | Application<br>Number | Filing<br>Date | Confirm<br>No. | Attys      |
|-------------------------|------------------------------|---------------------------------------|--|--|------------------|------|-----------------------|----------------|----------------|------------|
| 03-Jun-2003<br>Due Date | Response to Office<br>Action | 03-Mar-2003<br>3 Month Office Action* |  | 09798731-0013                              | 0013             | US   | 10078165              | 19-Feb-2002    | 5560           | DVM<br>3AS |
| Status: Pending         |                              |                                       |  | Client Ref:                                | Office: CH       |      |                       |                |                |            |
|                         |                              |                                       |  | 1st Inventor:<br>GARBER, MELISSA E         |                  |      |                       |                |                |            |
|                         |                              |                                       |  | Title: NETWORK BASED LEGAL SERVICES SYSTEM |                  |      |                       |                |                |            |



Friday, May 30, 2003

## Due Date List by Client

Page: 3

From 03-Jun-2003 To 03-Jun-2003

Client: 09792902 Sony Corporation

| Due Date/<br>Date Type  | Reason for Date  | Action Base Date/<br>Action Type           | Client-Master No | Master<br>Number | Ctry | Application<br>Number               | Filing<br>Date | Confirm<br>No. | Attys      |
|-------------------------|--|--|------------------|------------------|------|-------------------------------------|----------------|----------------|------------|
| 03-Jun-2003<br>Reminder | 11.5 Main Fee<br>Reminder 3 Month  | 03-Mar-1992<br>Tax 11.5                    | 09792909-1448    | 1448             | US   | 07/558470                           | 27-Jul-1990    |                | DVM        |
|                         | Status: Granted  | Client Ref: S90P0206US00                   |                  | Office: CH       |      | 1st inventor:<br>AZUMA, HIDEYO      |                |                | CPR        |
|                         | Title: CARBONACEOUS MATERIAL AND A NON-AQUEOUS ELECTROLYTE CELL USING THE SAME       |  |                  |                  |      |                                     |                |                |            |
| 03-Jun-2003<br>Reminder | 11.5 Main Fee<br>Reminder 3 Month  | 03-Mar-1992<br>Tax 11.5                    | 09792909-1509    | 1509             | US   | 07/616414                           | 21-Nov-1990    |                | DVM        |
|                         | Status: Granted  | Client Ref: S90P0360US00                   |                  | Office: CH       |      | 1st inventor:<br>ISHII, KAZUO       |                |                |            |
|                         | Title: PRINTER FOR PRINTING VIDEO IMAGE  |  |                  |                  |      |                                     |                |                |            |
| 03-Jun-2003<br>Due Date | Response To Office<br>Action   | 03-Mar-2003<br>3 Month Office Action/SONY* | 09792909-4117    | 4117             | US   | 09/273270                           | 22-Mar-1999    | 6903           | DVM<br>JFC |
|                         | Status: Pending  | Client Ref: S99P0302US00                   |                  | Office: CH       |      | 1st inventor:<br>ISHIBASHI, TADASHI |                |                |            |
|                         | Title: ORGANIC ELECTROLUMINESCENT DEVICE AND METHOD FOR PRODUCING IT                 |  |                  |                  |      |                                     |                |                |            |
| 03-Jun-2003<br>Due Date | Response To Office<br>Action   | 03-Mar-2003<br>3 Month Office Action/SONY* | 09792909-4872    | 4872             | US   | 09/551432                           | 18-Apr-2000    |                | DVM<br>JCE |
|                         | Status: Pending  | Client Ref: S00P0475US00                   |                  | Office: CH       |      | 1st inventor:<br>MOGI, TAKAYUKI     |                |                |            |
|                         | Title: OPTICAL TRANSMITTER RECEIVER AND METHOD OF OPTICAL TRANSMISSION AND RECEPTION |  |                  |                  |      |                                     |                |                |            |

Friday, May 30, 2003

## Due Date List by Client

Page: 4

From 03-Jun-2003 To 03-Jun-2003

Client: 09792902 Sony Corporation

| Due Date/<br>Date Type  | Reason for Date  | Action Base Date/<br>Action Type              | Client-Matter No | Matter<br>Number | Ctry | Application<br>Number                 | Filing<br>Date | Confirm<br>No. | Atty's |
|-------------------------|--|---|------------------|------------------|------|---------------------------------------|----------------|----------------|--------|
| 03-Jun-2003<br>Due Date | Application Status<br>Check  | 03-Dec-2001<br>Application Status Check       | 09792909-5275    | 5275             | US   | 10005960                              | 03-Dec-2001    | 2932           | DVM    |
|                         | Status: Pending  | Client Ref: S01P1758US00                      |                  | Office: CH       |      | 1st Inventor:<br>AMANO, SHUNJI        |                |                |        |
|                         | Title: DISPLAY DEVICE, PRODUCING METHOD OF ELECTRONIC APPARATUS AND DISPLAY DEVICE |   |                  |                  |      |                                       |                |                |        |
| 03-Jun-2003<br>Due Date | US-3 Month Offi<br>Follow Up Date  | 11-Sep-2002<br>US-3 Month Office Action/SONY* | 09792909-5325    | 5325             | US   | 10107495                              | 27-Mar-2002    | 8436           | DVM    |
|                         | Status: Pending  | Client Ref: S02P0288US00                      |                  | Office: CH       |      | 1st Inventor:<br>GOTO, YOUNGCHI       |                |                | JCE    |
|                         | Title: METHOD OF FABRICATING SEMICONDUCTOR DEVICE                                  |   |                  |                  |      |                                       |                |                |        |
| 03-Jun-2003<br>Due Date | Response to Advisory<br>Action   | 03-Jun-2003<br>Response to Advisory Action    | 09792909-5338    | 5338             | US   | 10073134                              | 11-Feb-2002    | 6397           | DVM    |
|                         | Status: Pending  | Client Ref: S02P0126US00                      |                  | Office: CH       |      | 1st Inventor:<br>SHIMIZUME, KAZUTOSHI |                |                | JCE    |
|                         | Title: SEMICONDUCTOR DEVICE CARRYING A PLURALITY OF CIRCUITS                       |   |                  |                  |      |                                       |                |                |        |
| 03-Jun-2003<br>Due Date | 1st Ext to Final<br>OA/Appeal  | 03-Feb-2003<br>Final Office Action/SONY*      | 09792909-5338    | 5338             | US   | 10073134                              | 11-Feb-2002    | 6397           | DVM    |
|                         | Status: Pending  | Client Ref: S02P0126US00                      |                  | Office: CH       |      | 1st Inventor:<br>SHIMIZUME, KAZUTOSHI |                |                | JCE    |
|                         | Title: SEMICONDUCTOR DEVICE CARRYING A PLURALITY OF CIRCUITS                       |   |                  |                  |      |                                       |                |                |        |

**Metzger, David R.**

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**From:** Janet M. Sassenick [Janet M. Sassenick/CHI/SNR@SNR]  
**Sent:** Tuesday, July 01, 2003 4:27 PM  
**To:** David R. Metzger  
**Cc:** Patricia Contreras; Karen A. Turkowski  
**Subject:** Reminders/Due Date List for 7/3/03

**Exhibit I**

Please find attached your list of items due for 7/3/03 in report form. Please notify Docket if you would like to extend or clarify any dates.



DVM.pdf (13 KB)

Thanks.

| Tuesday, July 01, 2003   |                            | Due Date List by Client                    |                  |                    |   |                | Page: 1        |        |
|--|----------------------------|--|------------------|--------------------|---|----------------|----------------|--------|
| Client: 09793822 A. Kolke & Co.  |                            | From                                       | 03-Jul-2003      | To                 | 03-Jul-2003                                   |                |                |        |
| Due Date/<br>Date Type   | Reason for Date            | Action Base Date/<br>Action Type           | Client-Matter No | Matter<br>Number   | Application<br>Number                         | Filing<br>Date | Confirm<br>No. | Atty's |
| 03-Jul-2003<br>Reminder  | Reminder Letter To<br>Sony | 19-May-2003<br>3 Month Office Action/SONY* | 09793822-0184    | 0184<br>Office: CH | US 10314858<br>1st Inventor:<br>ATA, MASAFUMI | 09-Dec-2002    | 2484           | DVM    |
| Status: Pending  |                            | Client Ref: SK00P09US00                    |                  |                    |   |                |                |        |
| Title: CHARGE SEPARATION TYPE HETEROJUNCTION STRUCTURE AND MANUFACTURING METHOD THEREFOR |                            |  |                  |                    |   |                |                |        |



| Due Date List by Client                          |                          |                                       |                  |                  |      |                                     |                |                |       | Page: 2 |
|--|--------------------------|---------------------------------------|------------------|------------------|------|-------------------------------------|----------------|----------------|-------|---------|
| Client: 09798731 American Services Network, P.C. |                          |                                       |                  |                  |      |                                     |                |                |       |         |
| From 03-Jul-2003 To 03-Jul-2003                  |                          |                                       |                  |                  |      |                                     |                |                |       |         |
| Due Date/<br>Date Type                           | Reason for Date          | Action Base Date/<br>Action Type      | Client-Matter No | Master<br>Number | Ctry | Application<br>Number               | Filing<br>Date | Confirm<br>No. | Attys |         |
| 03-Jul-2003<br>Due Date                          | 1st Ext to Office Action | 03-Mar-2003<br>3 Month Office Action* | 09798731-0013    | 0013             | US   | 10078165                            | 19-Feb-2002    | 5560           | DVM   |         |
| Status: Pending                                  |                          | Client Ref:                           |                  | Office: CH       |      | 1st Inventor:<br>GARBER, MELISSA E. |                | 3AS            |       |         |
| Title: NETWORK BASED LEGAL SERVICES SYSTEM       |                          |                                       |                  |                  |      |                                     |                |                |       |         |



Tuesday, July 01, 2003

## Due Date List by Client

Page: 4

From 03-Jul-2003 To 03-Jul-2003

Client: 09792902 Sony Corporation

| Due Date/<br>Date Type   | Reason for Date                   | Action Base Date/<br>Action Type  | Client-Matter No | Matter<br>Number   | Ctry | Application<br>Number | Filing<br>Date | Confirm<br>No. | Attys |
|--|-----------------------------------|-----------------------------------|------------------|--------------------|------|-----------------------|----------------|----------------|-------|
| 03-Jul-2003<br>Due Date  | Status--Assignment<br>Recordation | 03-Jan-2003<br>Assignment Filed * | 09792909-5552    | 5552<br>Office: CH | US   | 10332031              | 03-Jan-2003    | 1460           | DVM   |
| Status: Pending<br>Client Ref: S01P1014US00<br>Title: IMAGE DISPLAY DEVICE AND IMAGE DISPLAY APPARATUS |                                   |                                   |                  |                    |      |                       |                |                |       |
| 03-Jul-2003<br>Due Date  | Status--Assignment<br>Recordation | 03-Jan-2003<br>Assignment Filed * | 09792909-5559    | 5559<br>Office: CH | US   | 10332015              | 03-Jan-2003    | 9603           | DVM   |
| Status: Pending<br>Client Ref: S02P0547US00<br>Title: HIGH-FREQUENCY MODULE                            |                                   |                                   |                  |                    |      |                       |                |                |       |
| 03-Jul-2003<br>Reminder  | 1 Month to Missing<br>Parts       | 03-Jun-2003<br>Missing Parts *    | 09792909-5589    | 5589<br>Office: CH | US   | 10409336              | 07-Apr-2003    | 5100           | DVM   |
| Status: Pending<br>Client Ref: S03P0398US00<br>Title: BATTERY  |                                   |                                   |                  |                    |      |                       |                |                |       |
| Status: Pending<br>Client Ref: S03P0398US00<br>Title: BATTERY  |                                   |                                   |                  |                    |      |                       |                |                |       |

Tuesday, July 01, 2003

## Due Date List by Client

Page: 5

From 03-Jul-2003 To 03-Jul-2003

Client: 09794353 SIGURA PATENT OFFICE

| Due Date/<br>Date Type  | Reason for Date             | Action Base Date/<br>Action Type        | Client-Matter No | Matter<br>Number | Ctry | Application<br>Number | Filing<br>Date | Confirm<br>No. | Advs |
|---|-----------------------------|---|------------------|------------------|------|-----------------------|----------------|----------------|------|
| 03-Jul-2003<br>Due Date   | Application Status<br>Check | 03-Jan-2002<br>Application Status Check | 09794353-0008    | 0016             | US   | 09/888360             | 03-Jan-2002    | 8558           | DVM  |
| Status: Pending    Client Ref: S00P1267US00<br>Title: PHASE TRANSITION CONTROL METHOD OF FRACTAL COMBINATION, FRACTAL COMBINATION,<br>FERROMAGNETIC FRACTAL COMBINATION, INFORMATION PROCESSING METHOD, INFORMATION<br>STORING METHOD, INFORMATION STORING MEDIUM, INFORMATION PROCESSING DEVICE AND<br>INFORMATION ... |                             |   |                  |                  |      |                       |                |                |      |
| 1st Inventor:<br>KUROKI, YOSHIHIKO  |                             |   |                  |                  |      |                       |                |                |      |

|                                       |   |                                  |                  |                  |      |                       |                |                |       |
|---------------------------------------|---|----------------------------------|------------------|------------------|------|-----------------------|----------------|----------------|-------|
| Tuesday, July 01, 2003                |   | Due Date List by Client          |                  |                  |      |                       | Page: 6        |                |       |
| Client: 92792999 TANABE PATENT OFFICE |   | From 03-Jul-2003                 |                  | To 03-Jul-2003   |      |                       |                |                |       |
| Due Date/<br>Date Type                | Reason for Date   | Action Base Date/<br>Action Type | Client-Matter No | Matter<br>Number | City | Application<br>Number | Filing<br>Date | Confirm<br>No. | Attys |
| 03-Jul-2003                           | LTC - Let Pat   | 03-Jun-2003                      | 09792990-0002    | 0002             | US   | 09/272238             | 18-Mar-1999    | 5393           | DVM   |
| Due Date                              | Acknowledgement   | LTC - Letters Patent             |                  | Office: CH       |      | 1st inventor:         |                |                |       |
|                                       | Status: Granted   | Client Ref: S98P0772US00         |                  |                  |      |                       |                |                |       |
|                                       | Title: IMAGE SIGNAL MULTIPLEXING APPARATUS AND METHODS, IMAGE SIGNAL DEMULTIPLEXING APPARATUS AND METHODS, AND TRANSMISSION MEDIA |                                  |                  |                  |      |                       |                |                |       |

**Country Application**

Tuesday, October 18, 2005

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|  |                                     |
|--|-------------------------------------|
| <b>Client.Matter No.:</b> 09792909-6482          | <b>Office:</b> CH                   |
| <b>Family Number:</b> 09792909-6482              | <b>Old Firm #:</b> P01,0569         |
| <b>Country:</b> US      United States of America | <b>SubCase:</b>                     |
| <b>Client:</b> Sony Corporation                  |                                     |
| <b>Atty:</b> DVM                                 |                                     |
| <b>Case Type:</b> PCT                            | <b>Application Status:</b> Pending  |
| <b>Application Number:</b> 09/486865             | <b>Filing Date:</b> 08-Jul-1999     |
| <b>Publication Number:</b>                       | <b>Publication Date:</b>            |
| <b>Patent Number:</b>                            | <b>Issue Date:</b>                  |
| <b>Priority Number:</b>                          | <b>Priority Date:</b> 10-Jul-1998   |
| <b>Parent/PCT Number:</b>                        | <b>Parent/PCT Date :</b>            |
| <b>Parent Issue Number:</b>                      | <b>Parent Issue Date :</b>          |
|  | <b>Expiration Date:</b>             |
| <b>Client Reference:</b> S99P0784US00            | <b>Exhibit J</b>                    |
| <b>Add. Client Reference:</b> SK99PCT48US        |                                     |
| <b>Tax Schedule:</b> LE                          | <b>GAU:</b> 2755 <b>Customer #:</b> |
| <b>Confirmation #:</b>                           | <b>Patent Term Adjustment:</b> 0    |
| <b>Agent:</b>                                    | <b>Agent Reference #:</b>           |

**Remarks:** FORMERLY CASE NO. 09793822-0152

Sony # S99P0784US00

**List Of Actions**

| Action(s) Due                 | Due Date                  | Action Taken |
|-------------------------------|---------------------------|--------------|
| Information Disclosure Stmt   | 08-Oct-1999      Due Date | 08-Oct-1999  |
| Information Disclosure Stmt.  | 02-Jun-2000      Due Date | 26-Nov-2001  |
| Application Status Check      | 08-Jan-2001      Due Date | 26-Nov-2001  |
| Postcard-Status Inquiry       | 21-Nov-2001      Due Date | 10-Jan-2002  |
| Status Inquiry                | 21-Nov-2001      Due Date | 21-Nov-2001  |
| Status Inquiry Follow Up Date | 21-May-2002      Due Date | 04-Feb-2002  |
| Office Action Rec'd?          | 01-Jul-2002      Due Date |              |

**User ID:** cward

**Date Created:** 20-Nov-2001

**Last Update:** 03-Oct-2005